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<p>Sponsor/company: sanofi-aventis</p> <p>Generic drug name: Oxaliplatin</p>	<p>ClinicalTrials.gov Identifier: NCT00174616</p> <p>Study Code: C_8601</p> <p>Date: 30 September 2009</p>
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Title of the study:	Phase 2 study of weekly oxaliplatin and capecitabine (XELOX) in combination with preoperative radiotherapy in patients with MRI defined locally advanced rectal cancer; CORE : Capecitabine, Oxaliplatin, Radiotherapy and Excision		
Investigator(s):	Principal Investigator: Dr David Sebag-Montefiore, Cookbridge Hospital - Leeds, UK		
Study center(s):	France (4 centers), Italy (3 centers), Belgium (2 centers), England (2 centers), Germany (1 center), Netherlands (1 center) and Spain (1 center)		
Publications (reference):	Rutten H et al. Capecitabine, Oxaliplatin, Radiotherapy, and Excision (CORE) in patients with MRI-defined locally advanced rectal adenocarcinoma: results of an international multi-center phase II study. ASCO 2006 - poster #3528; ASCO 2009 - poster #10264		
Study period:	Phase of development: 2		
Date first patient enrolled:	15 July 2003		
Cut-off date:	10 November 2007		
Objectives:	<p><u>Primary:</u> Pathological complete response (ypTON0) rate</p> <p><u>Secondary:</u>            Histopathological R0 resection rate (CRM-negative)            Pathological down-staging (ypT0-T2N0)            One month surgical complication rate            Predictive value of pre-operative MRI for surgical, pathological and clinical outcomes            Safety            Local and distant recurrence rates            Progression free survival            Overall survival</p>		
Methodology:	Open-label phase 2 multi-center non comparative study		
Number of patients:	Planned: 87	Randomized: NA	Treated: 85
Evaluated:	Included/ITT: 87	Safety: 85	

<p>Diagnosis and criteria for inclusion:</p>	<ul style="list-style-type: none"> <li>• Informed consent signed</li> <li>• Patient <math>\geq</math> 18 years</li> <li>• Histopathological proven adenocarcinoma of the rectum (tumor <math>\leq</math> 12 cm from the anal verge)</li> <li>• No evidence of distant spread</li> <li>• No prior chemotherapy or radiation therapy for rectal cancer</li> <li>• Patient considered locally advanced by MRI <ul style="list-style-type: none"> <li>○ Tumor beyond mesorectal fascia, or</li> <li>○ Tumor <math>\leq</math> 2 mm from mesorectal fascia, or</li> <li>○ T3 tumor &lt; 5 cm from the anal verge</li> </ul> </li> <li>• ECOG PS <math>\leq</math> 2</li> <li>• No peripheral neuropathy &gt; grade 1</li> <li>• No uncontrolled diarrhea or fecal incontinence</li> <li>• No significant small bowel (&gt; 200c or 6x6x6 cm) delineated with the radiation field</li> <li>• ANC &gt; 1500 x 10<sup>9</sup>/L, platelets &gt; 100 x 10<sup>9</sup>/L, creatinine &lt; 1.5xIULN, bilirubin &lt; 1.25 IULN, SGPT (ALT) &lt; 2.5 IULN</li> <li>• No other serious uncontrolled illness</li> <li>• Female patient of childbearing potential, neither pregnant nor breastfeeding and under active contraception</li> </ul>
<p>Investigational product: Oxaliplatin</p> <p>Dose/Administration</p>	<p>Treatment plan:</p> <ol style="list-style-type: none"> <li>1. Pre-operative chemoradiation therapy (XELOX-RT) Oxaliplatin 50 mg/m<sup>2</sup> administered weekly, for 5 weeks, as a 2-hour IV infusion combined with capecitabine 825 mg/ m<sup>2</sup> PO administered twice daily x 5 days x 5 weeks and Radiotherapy 1.8 Gy/fraction x 5 days (total radiation dose 45 Gy) x 5 weeks, then</li> <li>2. Total Mesorectal Excision (TME) in resectable patients followed by</li> <li>3. Post-operative XELOX chemotherapy for patients achieving histopathological R0/R1 resection; one cycle consisting of oxaliplatin 130 mg/ m<sup>2</sup> given as a 2-hour IV infusion on Day 1; capecitabine 825 mg/ m<sup>2</sup> administered PO twice daily from Day to Day 14 of each 21-day cycle of therapy; 6 cycles</li> </ol>
<p>Reference therapy:</p>	<p>No reference therapy</p>
<p>Criteria for evaluation:</p> <p>Efficacy:</p> <p>Safety:</p>	<p>Primary endpoint: Pathological complete response (yp T0N0) rate (<i>Investigator and central review data</i>)</p> <p>Secondary endpoints:</p> <ul style="list-style-type: none"> <li>• Histopathological R0 rate = rate of patients having a minimum distance of tumor of CRM of &gt; 1 mm (<i>Investigator's data</i>)</li> <li>• Down-staging rate (<i>Investigator and central review data</i>)</li> <li>• Surgical complications</li> <li>• Local recurrence/distant recurrence date</li> <li>• Survival (progression-free and overall)</li> </ul> <p>NCI-CTC version 2 for adverse events/Standard hematology and blood chemistry</p>

<p><b>Duration of treatment:</b></p> <ul style="list-style-type: none"> <li><u>Preoperative XELOX-RT:</u> Oxaliplatin 50 mg/m<sup>2</sup>: weekly x 5 doses Capecitabine 825 mg/ m<sup>2</sup>: 5 days/week x 5 weeks Radiotherapy: daily dose fraction 1.8 Gy x 5 days x 5 weeks</li> <li><u>Postoperative XELOX adjuvant chemotherapy:</u> Cycle length: 21 days, 6 cycles planned Oxaliplatin: 130 mg/ m<sup>2</sup> on Day 1 Capecitabine: 825 mg/ m<sup>2</sup> bid day x 2 weeks</li> </ul>	<p><b>Duration of observation:</b></p> <p>Follow-up observation continued until death or until 24 months after the last patient completed study.</p>
<p><b>Statistical methods:</b></p>	<p>Study treatment was to be accepted or rejected for further study based on the results showing the treatment to be active or insufficiently active. The inactivity cut-off equal to 25%; hence the hypotheses of interest H<sub>0</sub>: <math>r \leq 10\%</math> against H<sub>A</sub>: <math>r \geq 25\%</math> where <math>r</math> is the pathological complete response rate (yp TON0).</p> <p>Primary efficacy analysis was performed on the ITT population using descriptive statistics. Patients not undergoing TME surgery or without an evaluable pathological specimen are considered as non-responders in the calculation of pathological complete response and pathological down-staging.</p> <p>Supportive analysis for the primary endpoint was repeated on the Per Protocol (PP) population. Investigator and central review data were analyzed separately for both ITT/PP populations.</p> <p>The 2 PP populations were: The Expert PP population - all subjects who fulfill all inclusion and exclusion criteria according to the expert radiologist and who receive within 80 % of the recommended dose of XELOX-RT, who undergo TME as protocol defined, and have a pathology assessment available for the central review.</p> <p>The Investigator PP population - all subjects who fulfill all inclusion and exclusion criteria according to the site radiologist and who receive within 80 % of the recommended dose of XELOX-RT, who undergo TME as protocol defined, and have a pathology assessment available for the investigator site review.</p> <p>Treat 70 evaluable patients:</p> <ul style="list-style-type: none"> <li>Declare XELOX-RT insufficiently active if 11 pathological complete responses or less are observed.</li> <li>Declare XELOX-RT active if 12 or more are observed (observed response rate of 17 %).</li> </ul> <p>The error rate of the first type (<math>\alpha</math> probability of accepting and insufficiently active treatment, a false positive outcome) was set to 0.05 (i.e. a 5 % or less chance of accepting as interesting for further research a regimen with a true underlying pathological complete response rate of no more than 10 %).</p> <p>The error rate of the second type (<math>\beta</math> probability of rejecting an active treatment, a false negative outcome) was set to 5 % (i.e. a power of 95 % not to reject a regimen with a true underlying pathological complete response rate of at least 25 %).</p> <p>Secondary efficacy analyses were carried out on the ITT population. Survival curves at 1 year, 2 years and 3 years were estimated according to the Kaplan-Meier method. Correlation of outcome with clinical and pathological variables was not evaluated using the Cox proportional hazard model as planned due to the relatively limited sample size.</p>

<p><b>Summary:</b></p>	<p>A total of 87 patients were enrolled. Of these, 2 were not exposed to treatment and 6 were withdrawn during the preoperative XELOX-RT phase including one patient who died during surgery. Hence, surgery was completed for 79 patients including 77 TME. 30 patients were withdrawn after surgery (14 for general deterioration, 4 for rectal abscess, 3 for toxicity, 3 for disease progression, 2 for refusal, 2 for misclassified R2, 1 for complete remission and 1 for death). In addition to preoperative chemoradiation, 49 patients received postoperative chemotherapy and 36 patients completed the full treatment.</p> <p>ITT population: mean age was 61.2 years (35-82). Sex ratio (male:female) was 61:26. Fifty-six patients (64.4 %) had low rectal cancer, 25 (28.7 %) had medium and 6 (6.9 %) had upper rectal cancer. MRI staging at baseline (Central Review): 11 patients were assessed as being T2 at baseline; 62 patients were T3 and 8 patients were T4; data was missing or uninformative in 6 patients.</p>
<p><b>Efficacy results:</b></p>	<p><b>Primary endpoint</b> was analyzed on ITT population. 10.3 % (9 patients, 0.95 % CI, 3.94-16.74 %) as per Expert review and 11.5. % (10 patients, 95% CI, 5.48-20.5 %) as per investigator assessment showed a pathological complete response (ypT0N0).</p> <p><b>Secondary endpoints:</b></p> <ul style="list-style-type: none"> <li>• The histopathological R0 rate (CRM negative) was 74.7% (Investigator's data).</li> <li>• Down-staging rate was 46.0 % according to investigator data (TNM) and 22.7% according to central review data (T value only).</li> <li>• Twenty-two patients (27.8 %) were reported to have one-month surgical complications. The most common postoperative complications were diarrhea, intestinal obstruction, peritonitis and small intestinal obstruction.</li> <li>• The MRI accuracy in depicting T staging compared to histopathological results was 57.6% and 58.7% according to investigator's data and central review, respectively. MRI accuracy of predicting the likelihood of an R0 resection was 65.8 % for investigator population and 61.7 % for expert population, respectively.</li> <li>• The incidence of local recurrence was 5.7 % and the incidence of distant recurrence 27.5 % (ITT population).</li> <li>• With a median follow-up of 34.6 months, Progression Free Survival rate at 1 year was 74.4%, 2 years 62.8% and 3 years 55.3% (the median PFS was not reached). Overall survival rates for 1, 2 and 3 years were 86%, 81.4%, 75.3% respectively (the median OS was not reached).</li> </ul>

<p>Safety results:</p>	<p>For preoperative treatment, the median relative dose intensity of oxaliplatin and capecitabine were 100.0% and 97.1% respectively. The median cumulative dose of radiotherapy of 45.0 Gy (min. 36, max 49).</p> <p>Forty-nine patients received postoperative adjuvant chemotherapy with a median relative dose intensity of 97.0% and 94.7% for oxaliplatin and capecitabine respectively.</p> <p>The most frequent side effects (all grades) during the preoperative phase (XELOX-RT) were diarrhea (58.8%), peripheral sensory neuropathies (35.3%) with dysgeusia (1.2%) and paresthesia (1.2%), pain (35.3%) and fatigue (31.8%). Decreased hemoglobin occurred in 25.9% of patients, thrombocytopenia in 24.7% and neutropenia in 11.8%. Hypersensitivity reactions were reported in 8 patients (9.4%) and were generally reversible. The most common acute grade 3 toxicity was diarrhea (15.3%).</p> <p>In the postoperative phase, anemia occurred in 44.9% and neutropenia was observed in 51.0% of patients (all grades). Thrombocytopenia occurred in 38.8% of patients. Mild to moderate elevation of transaminases (ALAT) was reported in 18.4% of patients. The most frequent grade 3 toxicities were sensory neuropathy (8.2%), diarrhea (6.1%), fatigue (6.1%) and neutropenia (6.1%).</p> <p>Overall, treatment related serious adverse events occurred in 32 patients (37.6%), leading to treatment withdrawal in 12 patients (14.1%). Twenty-one deaths were reported during the study: 1 prior to treatment exposure, 5 during the treatment period (3 during preoperative phase and 2 during postoperative treatment) and 15 during follow-up more than 30 days after last study treatment. Two death cases were considered as possibly related to study treatment by the Sponsor although the investigator had ruled out a causal relationship: one patient died while playing squash during cycle 4 of adjuvant therapy. This patient had a history of cerebral bleeding. Another patient died at home for an unknown etiology during cycle 1 of adjuvant therapy. This patient had a history of coronary artery disease.</p>
<p>Date of report:</p>	<p>15 June 2009</p>