



**Clinical Trials Appendices** 

## **R&D Pipeline – New Molecular Entities**(\*)

Phas (Tota		Phase 2 (Total: 12)		Phase 3 (Total:8)	Registration (Total:3)
SAR439794 TLR4 agonist Peanut Allergy	<b>BIVV001</b> <sup>(3)</sup> rFVIIIFc – vWF – XTEN <sup>(4)</sup> Hemophilia A	SAR440340 <sup>(**)</sup> Anti-IL33 mAb Asthma	SAR422459 ABCA4 gene therapy Stargardt Disease	<b>isatuximab</b> Anti-CD38 mAb 3L Relapsing Refractory MM (ICARIA)	cemiplimab <sup>(**)</sup> PD-1 inhibitor mAb Advanced CSCC (EU)
REGN3767 <sup>(1)</sup> Anti LAG-3 mAb Advanced Cancers	ST400 <sup>(5)</sup> ZFN Gene Editing Technology Beta thalassemia	SAR156597 IL4/IL13 bi-specific mAb Systemic Scleroderma	SAR425899 GLP-1/GCG dual agonist Obesity/Overweight in T2D	avalglucosidase alfa Neo GAA Pompe Disease	Zynquista <sup>TM(**)</sup> Oral SGLT-1&2 inhibitor Type 1 Diabetes (U.S./EU)
REGN4659 <sup>(1)</sup> Anti-CTLA-4 mAb Cancer	SAR442168 <sup>(6)(**)</sup> BTK inhibitor Multiple Sclerosis	<b>GZ389988</b> TRKA antagonist Osteoarthritis	SAR407899 rho kinase Microvascular Angina	venglustat Oral GCS inhibitor ADPKD <sup>(12)</sup>	Cablivi® Bivalent anti-vWF Nanobody acquired Thrombotic Thrombocytopenic Purpura (U.S.)
REGN4018 <sup>(1)</sup> Anti MUC16-CD3 bispecific mAb Ovarian Cancer	<b>UshStat®</b> Myosin 7A gene therapy Usher Syndrome 1B	Combination ferroquine / OZ439 <sup>(8)(**)</sup> Antimalarial	HIV Viral vector prime & rgp120 boost vaccine	<b>fitusiran</b> RNAi therapeutic targeting anti-thrombin Hemophilia A and B	
SAR408701 Maytansin-loaded anti-CEACAM5 mAb Solid Tumors	SAR228810 Anti-protofibrillar AB mAb Alzheimer's Disease	ALX0171 Anti RSV Nanobody Respiratory Syncitial Virus	SP0232 <sup>(11)(**)</sup> Respiratory syncytial virus Monoclonal Antibody	<b>sutimlimab</b> ( <sup>13)</sup> Anti Complement C1s mAb Cold Agglutinin Disease	
SAR439459 anti-TGFβ mAb Advanced Solid Tumors	SAR438335 GLP-1/GIP dual agonist Type 2 Diabetes	R olipudase alfa rhASM Acid Sphingomyelinase Deficiency <sup>(9)</sup>		SAR341402 Rapid acting insulin Type 1/2 Diabetes	
SAR439859 SERD Metastatic Breast Cancer	SAR440181 <sup>(7)(**)</sup> Myosin activation Dilated Cardiomyopathy	SAR339375 <sup>(10)</sup> miRNA-21 Alport Syndrome		<b>efpeglenatide(**)</b> Long-acting GLP-1 agonist Type 2 Diabetes	
SAR442720 <sup>(2)</sup> SHP2 inhibitor Solid Tumors	SAR247799 S1P1 agonist Cardiovascular indication			mavacamten <sup>(14)(**)</sup> Myosin inhibitor Obstructive Hypertrophic Cardiomyopathy	
SAR440234 T cell engaging multi spe mAb Leukemia	Herpes Simplex Virus Type 2 HSV-2 vaccine			Registrational Study (other than F	,
	Respiratory syncytial virus Infants Vaccines			Immuno-inflammat	tion MS & Neuro
Oncology Diabetes					Cardiovascular & metabolis

(\*) Phase of projects determined by clinicaltrials.gov disclosure timing

(\*\*) Partnered and/or in collaboration - Sanofi may have limited or shared rights on some of



(8) Developed in collaboration with MMV(9) Also known as Niemann Pick type B

## Additional Indications(\*)

Phase 1 (Total:6)		ase 2		ase 3 tal:23)	Registration
cemiplimab(**) + REGN3767(1) PD-1 inhibitor mAb + anti LAG-3 mAb Advanced Cancers	dupilumab(**) Anti-IL4Rα mAb Grass Immunotherapy	isatuximab + atezolizumab(**) Anti-CD38 mAb + PD-L1 inhibitor mAb Advanced Malignancies	<b>dupilumab<sup>(**)</sup></b> Anti-IL4Rα mAb Asthma 6 - 11 years old	<b>isatuximab</b> Anti-CD38 mAb Newly Diagnosed MM Te	<b>dupilumab</b> (**) Anti-IL4Rα mAb Asthma 12y+ (EU)
Cemiplimab(") + REGN4659(1) PD-1 inhibitor mAb + Anti-CTLA-4 mAb NSCLC	R sarilumab(**) Anti-IL6R mAb Polyarticular Juvenile Idiopathic Arthritis	<b>venglustat</b> Oral GCS inhibitor Fabry Disease	<b>dupilumab<sup>(**)</sup></b> Anti-IL4Rα mAb Nasal Polyposis	<b>isatuximab</b> Anti-CD38 mAb 1L Newly Diagnosed MM Ti <sup>(4)</sup> (IMROZ)	Dupixent®(**) dupilumab Atopic Dermatitis 12 – 17 years old (U.S. <sup>(5)</sup> /EU)
Cemiplimab(") + REGN4018(1) PD-1 inhibitor mAb + Anti-MUC16-CD3 bispecific mAb - Ovarian Cancer	<b>sarilumab<sup>(**)</sup></b> Anti-IL6R mAb Systemic Juvenile Arthritis	<b>venglustat</b> Oral GCS inhibitor Gaucher Type 3	Dupixent®(**) dupilumab Atopic Dermatitis 6 – 11 years old	Aubagio® teriflunomide Relapsing Multiple Sclerosis - Pediatric	Praluent®(") alirocumab CV events reduction (U.S./EU)
SAR439859 SERD + Palbociclib Metastatic Breast Cancer	SAR440340 <sup>(**)</sup> Anti-IL33 mAb COPD	venglustat Oral GCS inhibitor Gaucher related Parkinson's Disease	Dupixent®(**) dupilumab Atopic Dermatitis 6 months - 5 years old	Lemtrada® alemtuzumab Relapsing Remitting Multiple Sclerosis - Pediatric	VaxiGrip® QIV IM Quadrivalent inactivated Influenza vaccine 6 - 35 months
SAR439459 + cemiplimab(**) Anti-TGFβ mAb + PD-1 inhibitor mAb Advanced Solid Tumors	<b>dupilumab <sup>(*)</sup>+ AR101-CODIT</b> Anti-IL4Rα mAb Peanut Allergy - Pediatric	mavacamten(3)(") Myosin inhibitor Non-Obstructive Hypertrophic Cardiomyopathy	<b>dupilumab(**)</b> Anti-IL4Rα mAb Eosinophilic Esophagitis	Zynquista <sup>TM(**</sup> ) Oral SGLT-1&2 inhibitor Type 2 Diabetes	PR5i DTP-HepB-Polio-Hib Pediatric hexavalent vaccines (U.S.)
<b>sutimlimab</b> <sup>(2)</sup> Anti Complement C1s mAb Immune Thrombocytopenia	Cemiplimab("') PD-1 inhibitor mAb Advanced Basal Cell Carcinoma	Rabies VRVg Purified vero rabies vaccine	<b>sarilumab(**)</b> Anti-IL6R mAb Giant Cell Arteritis	<b>Zynquista<sup>TM(**)</sup></b> Oral SGLT-1&2 inhibitor Worsening Heart Failure in Diabetes	Fluzone® 0.5 mL QIV Quadrivalent inactivated Influenza vaccine 6 months+
	cemiplimab <sup>(**)</sup> PD-1 inhibitor mAb 2L NSCLC	<b>Adacel+</b> Tdap booster	<b>sarilumab<sup>(**)</sup></b> Anti-IL6R mAb Polymyalgia Rheumatica	Cerdelga® eliglustat Gaucher Type 1, switch from ERT - Pediatric	
	isatuximab + cemiplimab(") Anti-CD38 mAb + PD-1 inhibitor mAb Relapsing Refractory MM	Shan 6 DTP-HepB-Polio-Hib Pediatric hexavalent vaccine	cemiplimab("') PD-1 inhibitor mAb 1L NSCLC	Praluent®(**) alirocumab LDL-C reduction - Pediatric	Immuno-inflammation Oncology
	isatuximab + cemiplimab(**) Anti-CD38 mAb + PD-1 inhibitor mAb Advanced Malignancies		cemiplimab(")+ ipilimumab PD-1 inhibitor mAb + CTLA4 mAb 1L NSCLC <50% PDL1 +	Fluzone® QIV HD Quadrivalent inactivated Influenza vaccine - High dose	Rare Diseases Rare Blood Disorders
Registrational study (other than Phase 3)			cemiplimab(**) + ipilimumab PD-1 inhibitor mAb + CTLA4 mAb 1L NSCLC ≥ 50% PDL1 +	Men Quad TT  Advanced generation meningococcal  ACYW conjugate vaccine	MS & Neuro Diabetes
	n product for which Sanofi has opt-in rights		cemiplimab("') PD-1 inhibitor mAb 2L Cervical Cancer	Pediatric pentavalent vaccine DTP-Polio-Hib Japan	Cardiovascular & metabolism Vaccines
SANOFI (3) Also know Transplan U.S. filing	m as BIVV009 m as SAR439152 and MYK461 tineligible pending acceptance by FDA		isatuximab Anti-CD38 mAb 1-3L Relapsing Refractory MM (IKEMA)		



U.S. filing pending acceptance by FDA
 Phase of projects determined by clinicaltrials.gov disclosure timing
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## **Expected Submission Timeline**(1)

avalglucosidase alfa GZ389988 ALX0171 Neo GAA TRKA antagonist Anti RSV Nanobody Pompe Disease Osteoarthritis Respiratory Syncitial Virus olipudase alfa SAR228810 SAR156597 SAR407899 rhASM IL4/IL13 bi-specific mAb Anti-protofibrillar AB mAb rho kinase ASD(4) Systemic Scleroderma Microvascular Angina Alzheimer's Disease. fitusiran isatuximab venglustat SAR440340(\*\*) SAR422459 RNAi therapeutic targeting anti-SP0232 mAbs(7)(\*\*) anti-CD38 mAb Oral GCS inhibitor Anti-IL33 mAb ABCA4 gene therapy thrombin Respiratory syncytial virus Stargardt Disease 3L RRMM (ICARIA) ADPKD(6) Asthma Hemophilia A/B SAR341402 sutimlimab(5) efpeglenatide(\*\*) Combination SAR425899 HIV ferroquine / OZ439(\*\*) Rapid acting insulin Anti Complement C1s mAb Long acting GLP1-R agonist GLP-1/GCG dual agonist Viral vector prime & rgp120 boost Type 1/2 Diabetes - EU(3) Cold Agglutinin Disease Type 2 Diabetes Antimalarial Obesity/Overweight in T2D vaccine 2019<sup>(2)</sup> 2020(2) 2021(2) 2022 and beyond<sup>(2)</sup> sarilumab(\*\*) Dupixent®(\*\*) Fluzone® QIV HD Aubagio<sup>®</sup> Dupixent®(\*\*) sarilumab(\*\*) isatuximab venglustat Anti-IL6R mAb Anti-II 6R mAh dupilumab Quadrivalent inactivated Anti-CD38 mAb (IMROZ) dupilumab Oral GCS inhibitor teriflunomide Additional Indications Polyarticular Juvenile Idiopathic AD 6 months - 5 years old Giant Cell Arteritis AD 6 - 11 years old -Influenza vaccine - High dose Relapsing MS - Ped. 1L Newly Diagnosed MM Ti Gaucher Type 3 Arthritis Zvnguista<sup>TM(\*\*)</sup> Cerdelga® sarilumab(\*\*) sarilumab(\*\*) Men Quad TT cemiplimab(\*\*) Zynquista<sup>TM(\*\*)</sup> dupilumab(\*\*) Oral SGLT-1&2 inhibitor Anti-IL6R mAb Anti-IL6R mAb eliglustat Anti-IL4Ra mAb Adv. generation meningococcal PD-1 inhibitor mAh Oral SGLT-1&2 inhibitor Worsening Heart Failure in Gaucher Type 1, switch from ERT -Systemic Juvenile Arthritis Polymyalgia Rheumatica Nasal Polyposis Adult U.S.: 2y+ & EU: Toddlers+ 2L Cervical Cancer Type 2 Diabetes Pediatric EU Diabetes isatuximab dupilumab (\*\*)+ AR101-CODIT Shan 6 dupilumab(\*\*) venglustat Pentacel® vIPV Adacel+ Anti-CD38 mAb DTP-HepB-Polio-Hib Anti-IL4Ra mAb Anti-IL4Ra mAb Oral GCS inhibitor DTaP-IPV/Hib Tdap booster 1-3L RRMM (IKEMA) Pediatric hexavalent vaccine Eosinophilic Esophagitis Peanut Allergy - Pediatric GrPD(8) Pediatric pentavalent cemiplimab(\*\*) dupilumab(\*\*) isatuximah Praluent®(\*\*) PD-1 inhibitor mAb Anti-IL4Ra mAb Anti-CD38 mAb vaccine alirocumab 1L NSCLC DTP-Polio-Hib (Japan) Asthma 6 - 11 years old Newly Diagnosed MM Te LDL-C reduction - Pediatric cemiplimab(\*\*) SAR440340(\*\*) venglustat Rabies VRVa PD-1 inhibitor mAb Anti-IL33 mAb Oral GCS inhibitor Purified vero rabies vaccine COPD Advanced BCC Fabry Disease Immuno-inflammation MS & Neuro Oncology Diabetes Rare Diseases Cardiovascular & metabolism Excluding Phase 1 Autosomal Dominant Polycystic Kidney Disease Vaccines Rare Blood Disorders Projects within a specified year are not arranged by submission timing Also known as MEDI8897 Submission strategy for the U.S. under evaluation

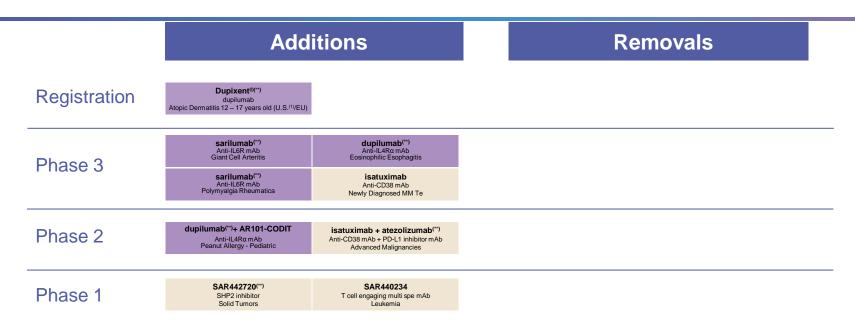


Gaucher Related Parkinson's Disease

Partnered and/or in collaboration - Sanofi may have limited or shared rights on some of

Acid Sphingomyelinase Deficiency

## **Pipeline Movements Since Q2 2018**





## **R&D Pipeline Summary – Total Projects**(1)

	Phase 1	Phase 2	Phase 3	Registration	TOTAL
Immuno-inflammation	1	10	7	2	20
Oncology	13	5	8	1	27
Rare Diseases	0	4	3	0	7
Rare Blood Disorders	3	0	2	1	6
Multiple Sclerosis and Neurology	3	2	2	0	7
Diabetes	1	1	4	1	7
Cardiovascular Disease	2	2	2	1	7
Vaccines	2	5	3	3	13
TOTAL	25	29	31	9	
	5	4		40	94



## **Expected R&D Milestones**

Products	Expected milestones	Timing
Fluzone <sup>®</sup> QIV HD	Phase 3 results for prevention of Influenza	Q4 2018
efpeglenatide	Start of Phase 3 in Type 2 Diabetes as add-on to basal insulins	Q4 2018
Dupixent®	U.S. FDA filing in Atopic Dermatitis in Adolescent patients	Q4 2018
isatuximab	Phase 3 results in Multiple Myeloma in combination with PomDex (ICARIA)	Q1 2019
dupilumab	U.S. sBLA filing in Nasal Polyposis	Q1 2019
dupilumab	Start of Phase 2b/3 trial in Chronic Obstructive Pulmonary Disease	Q1 2019
Dupixent®	EU regulatory decision in Asthma in Adult/Adolescent patients	Q1 2019
Dupixent®	U.S. regulatory decision in Atopic Dermatitis in Adolescent patients	Q1 2019
Zynquista <sup>TM</sup> (sotagliflozin)	EU regulatory decision expected in Type 1 Diabetes	Q1 2019
Zynquista <sup>TM</sup> (sotagliflozin)	U.S. regulatory decision expected in Type 1 Diabetes	Q1 2019
Praluent®	EU regulatory decision in CV events reduction ODYSSEY OUTCOMES	Q1 2019
Cablivi® (caplacizumab)	U.S. regulatory decision in acquired Thrombotic Thrombocytopenic Purpura	Q1 2019
Praluent®	U.S. regulatory decision in CV events reduction ODYSSEY OUTCOMES	Q2 2019
cemiplimab	EU regulatory decision expected in Advanced Cutaneous Squamous Cell Carcinoma	Q2 2019
BIVV001	Expected proof of concept study read-out in Hemophilia A	H1 2019
Dupixent®	EU regulatory decision in Atopic Dermatitis in Adolescent patients	Q3 2019
sutimlimab	Expected pivotal trial read-out in Cold Agglutinin Disease	H2 2019



## List of abbreviations

AE	Adverse Events	IAR	Infusion Associated Reaction	QNM	Every N Months
APO	Apolipoprotein	IC	Investigator's Choice	QOL	Quality Of Life
BOR	Best Overall Response	IGA	Investigator's Global Assessment	RECIST	Response Evaluation Criteria in Solid Tumors
BW	Body Weight	IMID	Immunomodulatory Drug	SAE	Serious Adverse Events
СВ	Clinical Benefit	ITT	Intent To Treat	SBP	Systolic Blood Pressure
CNS	Central Nervous System	LP	Lipoprotein	SDMT	Symbol Digit Modalities Test
CR	Complete Response	MRI	Magnetic Resonance Imaging	SMPG	Self Monitored Plasma Glucose
CRR	Complete Response Rate	MTD	Maximum Tolerated Dose	SSD	Study Start Date
СТ	Computed Tomography	N	Number	TC	Total Cholesterol
CV	Cardiovascular	NC	Nasal Congestion/obstruction	TEAE	Treatment Emergent Adverse Events
DE	Data Expected	NNT	Number Needed to Treat	TSS	Total Symptom Score
DCR	Disease Control Rate	os	Overall Survival	TG	Triglycerides
DLT	Dose-Limiting Toxicity	ORR	Overall Response Rate	TTP	Time To Progression
DOD	Duration Of Disease	PD	Pharmacodynamics	TTR	Time To Response
DOR	Duration Of Response	PI	Proteasome Inhibitor	TX	Treatment
DPP4	Dipeptidyl peptidase 4	PFS	Progression-Free Survival	VGPR	Very Good Partial Response
EASI	Eczema Area and Severity Index	PK	Pharmacokinetic		
FPC	Fasting Plasma Glucose	PPG	Postprandial Glucose		

**Patient Reported Outcome** 

**Every N Weeks** 



HbA1c Hemoglobin A1c

**Incidence of Adverse Events** 

#### Dupilumab (anti-IL4Rα mAb) Asthma 1/3

Immuno-inflammation	

Study	Description	Patients	Design	Endpoints	Status
LIBERTY ASTHMA TRAVERSE LTS12551 NCT02134028	Phase 2/3  Open label extension study long-term safety & tolerability evaluation in patients with asthma who participated in previous studies	2,284 enrolled	<ul> <li>For patients coming from DRI12544, PDY14192, EFC13579, EFC13691 studies, added to current controller medications</li> <li>Open-label</li> </ul>	<ul> <li>Primary: N and % of patients experiencing any TEAE</li> <li>Secondary: Safety</li> </ul>	• SSD: Jul. 2014 • DE: 2019
Continuation of LIBERTY ASTMA TRAVERSE LPS15023 NCT03620747	Phase 3  Continuation of TRAVERSE evaluating dupilumab safety in Patients with Asthma (Long term follow-up)	750	<ul> <li>Patients with asthma who completed the treatment period in the previous dupilumab asthma clinical study LTS12551</li> <li>Open-label, Single group assignement</li> </ul>	Primary: TEAEs: % of patients reporting TEAs, event rates per 100 patient-year	• SSD: Aug. 2018 • DE: 2021



#### Dupilumab (anti-IL4Rα mAb) Asthma 2/3

Immuno-inflammation	

Study	Description	Patients	Design	Endpoints	Status
LIBERTY ASTHMA EXCURSION LTS14424 NCT03560466	Phase 3  Long term safety and tolerability (1 year) of dupilumab in pediatric patients with asthma who participated in a previous dupilumab asthma clinical study	540	Open-label 1 year of Tx	<ul> <li>Primary: N of patients experiencing any TEAE</li> <li>Secondary: Severe asthma exacerbation events, change in % predicted FEV1, in absolute FEV1, in FVC, FEF, dupilumab concentrations, anti-dupilumab Ab, eosinophils, Ig, IgE</li> </ul>	• SSD: June 2018 • DE: 2026



#### Dupilumab (anti-IL4Rα mAb) Asthma 3/3

Immuno-inflammation	

Study	Description	Patients	Design	Endpoints	Status
CHILDREN ASTHMA VOYAGE EFC14153 NCT02948959	Phase 3  Evaluation of dupilumab in children (6 to <12 years) with uncontrolled asthma	471	<ul> <li>In children 6 to &lt;12 years of age with uncontrolled persistent asthma</li> <li>Randomized, Double-blind, Placebocontrolled, parallel group 52 weeks Tx, 12 weeks post Tx</li> </ul>	Primary: Annualized rate of severe exacerbation events during Tx period Secondary: Safety and tolerability, PROs, Systemic exposure and incidence of antidrug antibodies, Association between dupilumab Tx and pediatric immune responses to vaccines	• SSD: Jun. 2017 • DE: 2021



## Dupilumab (anti-IL4Rα mAb) Atopic Dermatitis (AD) 1/2

Immuno-inflammation	

Study	Description	Patients	Design	Endpoints	Status
OLE Pediatrics AD R668-AD-Reg 1434 NCT02612454	Phase 3  A study to assess the long-term safety of dupilumab administered in patients 6 to <18 years of age with AD	765 expected	<ul> <li>For patients having participated in a prior dupilumab study in pediatrics with AD</li> <li>Open label extension study</li> </ul>	Primary: Incidence and rate of TEAEs Secondary: SAEs and TEAEs of special interest, % of patients who achieve and maintain remission, EASI-75: % of patients achieving and maintaining at least 75% reduction in EASI score over time, EASI-50: % of patients achieving and maintaining at least 50% reduction in EASI scores over time.	• SSD: Oct. 2015 • DE: 2024



## Dupilumab (anti-IL4Rα mAb) Atopic Dermatitis (AD) 2/2

Immuno-inflammation	

Study	Description	Patients	Design	Endpoints	Status
LIBERTY AD PRESCHOOL R668-AD-1539 NTC03346434	Phase 2/3  Safety, PK and Efficacy of Dupilumab in Patients ≥6  Months to <6 Years With Severe Atopic Dermatitis	280	<ul> <li>Part A: Open-label, single-ascending dose, sequential cohort phase 2 study</li> <li>Part B: Randomized, double-blind, parallel-group, placebo-controlled phase 3 study</li> </ul>	Part A: PK Part B: Proportion of patients with Investigator's Global Assessment "0" or "1" (on a 5-point scale) at week 16  Part A: PK On the proposition of patients with Investigator's Global Assessment "0" or "1" (on a 5-point scale) at week 16	• SSD: Dec. 2017 • DE: 2022
AD in 6 - 11 Years Old R668-AD-1652 NCT03345914	Phase 3  Efficacy and safety of Dupilumab administered with Topical Corticosteroids in participants ≥6 to <12 years with Severe Atopic Dermatitis	240	Randomized, Double-blind, Placebo- controlled Study	Primary: Proportion of patients with Investigator's Global Assessment "0" or "1" (on a 5-point scale) at week 16 Secondary: Change from baseline to week 16 in Children's Dermatology Life Quality Index, Percent change in EASI score from baseline to week 16, Incidence of serious TEAEs through week 16	• SSD: Jan. 2018 • DE: 2019



## Dupilumab (anti-IL4Rα mAb) Atopic Dermatitis (AD) 3/3

Immuno-inflammation	Diabetes	

Study	Description	Patients	Design	Endpoints	Status
Open-Label	Phase 3	2678(1)	Open label extension study for patients who participated in placebo-controlled	Primary: TEAEs     Secondary: SAEs and AEs of	• SSD: Oct. 2013 • DE: 2018
R668-AD-1225 NCT01949311	Open-Label study of Dupilumab in patients with Atopic Dermatitis		dupilumab AD trials. The study primarily evaluates long term safety (adverse events) and immunogenicity. Efficacy parameters are based on IGA, EASI) and the NRS	special interest, % of patients who achieve and maintain remission, EASI-75: % of patients achieving and maintaining at least 75% reduction in EASI score over time, EASI-50: % of patients achieving and maintaining at least 50% reduction in EASI scores over time	52.2510



# Dupilumab (anti-IL4Rα mAb) Grass Immunotherapy

Immuno-inflammation	

Study	Description	Patients	Design	Endpoints	Status
GRASS  R668 – ALG - 16115  NCT03558997	Phase 2  Evaluation of dupilumab as an adjunct for subcutaneous grass immunotherapy to reduce provoked allergic rhinitis symptoms	100	Patients with history of grass pollen- induced seasonal allergic rhinitis confirmed by SPT with Timothy grass extract and Timothy grass specific IgE Randomized, double-blind, placebo- controlled study  4 arms: dupilumab + Timothy Grass SCIT; placebo dupilumab + SCIT; dupilumab + placebo SCIT; placebo dupilumab + placebo SCIT;  16 weeks of Tx	Primary: Total Nasal Symptom Score (TNSS) after nasal allergen challenge (NAC) with Timothy grass extract Secondary: change from baseline in TNSS AUC post NAC over the 1st hour after the challenge across the arms, specific immunoglobulin G4, TEAEs	• SSD: June 2018 • DE: 2019



## Dupilumab (anti-IL4Rα mAb) Eosinophilic Esophagitis (EoE)

Immuno-inflammation	

Study	Description	Patients	Design	Endpoints	Status
EoE  R668 – EE - 1774  NCT03633617	Phase 3  Efficacy and Safety of Dupilumab in Adult and Adolescent patients with Eosinophilic Esophagitis	425	<ul> <li>Patients with documented diagnosis of EoE by endoscopic biopsy</li> <li>Randomized, double-blind, parallel assignment, placebo-controlled study,</li> <li>Part A: dupilumab or placebo (double-blind) for 24 weeks</li> <li>Part B: dupilumab dose regimen 1, dupilumab dose regimen 2 or placebo (double-blind) for 24 weeks</li> <li>Part C: for patients eligible at the end of Part A and Part B, dupilumab dose regimen 1, dupilumab dose regimen 1, dupilumab dose regimen 2 or placebo (double-blind) for 28 weeks</li> <li>12-week follow-up for all patients (eligible and non eligible)</li> </ul>	<ul> <li>Primary: Proportion of patients achieving peak esophageal intraepithelial eosinophil count of ≤6 eosinophils per high-power field (eos/hpf), Absolute change in Dysphagia Symptom Questionnaire (DSQ) score</li> <li>Secondary: Absolute change in EoE endoscopic reference score (EREFS), Percent change in peak esophageal intraepithelial eosinophil count (eos/hpf), Absolute change in EoE Histology Scoring System (EoEHSS), Proportion of patients achieving peak esophageal intraepithelial eosinophil count of ≤15, Proportion of patients achieving peak esophageal intraepithelial eosinophil count of ≤15, Proportion of patients achieving peak esophageal intraepithelial eosinophil count of ≤1, Percent change in DSQ, QOL, Absolute change in severity and/or frequency of EoE symptoms other than dysphagia</li> </ul>	SSD: Nov. 2018     DE: primary completion: 2022, full completion: 2023



## Dupilumab(anti-IL4Rα mAb) adjunct to AR101-CODIT<sup>(1)</sup> Peanut Allergy

Immuno-inflammation	

Study	Description	Patients	Design	Endpoints	Status
Peanut Allergy  R668 –ALG - 16114  NCT03682770	Phase 2  Efficacy and Safety of Dupilumab as adjunct to AR101- CODIT (Characterized Oral Desensitization Immunotherapy) in Pediatric Subjects with Peanut Allergy	156	Child 6 to 17 years experiencing dose-limiting symptoms at or before the challenge dose of peanut protein on screening and not experiencing dose-limiting symptoms to placebo Randomized, double-blind, parallel assignment, placebo-controlled study arms: dupilumab adjunct to AR101-CODIT vs placebo	<ul> <li>Primary: % of subjects who "pass" a double-blind, placebocontrolled food challenge (DBPCFC) with peanut protein at week 28</li> <li>Secondary: change in cumulative tolerated dose of peanut protein during DBPBFC, at week 28, % of subjects who « pass » the DBPCFC at week 52 (desensitization maintenance), safety and tolerability, change in peanut-specific IgE (sIgE), IgG4 and IgG4/sIgE ratio</li> </ul>	SSD: Oct. 2018     DE: primary completion: 2020, full completion: 2021



## Sarilumab (anti-IL6 mAb) Rheumatoid Arthritis (RA)

Immuno-inflammation	

Study	Description	Patients	Design	Endpoints	Status
SARIL-RA- EXTEND LTS11210 NCT01146652	Phase 3  Long-term evaluation of sarilumab in RA patients	2000	<ul> <li>In patients with RA having participated to previous trials</li> <li>Multi-center, uncontrolled extension, open-label; up to 1 week screening, at least 264 weeks of open label Tx and up to 516 weeks max., 6 weeks post-Tx</li> </ul>	Primary: N of patients with AE     Secondary: Long term efficacy of sarilumab in patients with RA (ACR20, DAS28, EULAR response)	• SSD: Jun. 2010 • DE: 2020



# Sarilumab (anti-IL6 mAb) Juvenile Idiopathic Arthritis (JIA)

Immuno-inflammation	

Study	Description	Patients	Design	Endpoints	Status
Polyarticular JIA Children & Adolescents DRI13925	Phase 2b  Dose-finding study of sarilumab in children and adolescents with Polyarticular-course Juvenile Idiopathic Arthritis (pcJIA)	72	<ul> <li>In children and adolescents, Aged 2 to 17 years, with pcJIA</li> <li>Open-label, sequential, ascending, repeated dose-finding Study; 4-week screening, 12-week core Tx, 144-week extension, 6-week post-Tx</li> </ul>	Primary: PK parameters (Up to week 12) Secondary: PD profile, The efficacy and the safety of sarilumab in patients with pcJIA, Long-term safety of sarilumab in patients with pcJIA  Primary: PK parameters (Up to be parameters)  PRIMARY: PK parameters (	SSD: Sep. 2016     DE: Primary completion 2018; full completion 2022
NCT02776735					
Systemic JIA Children & Adolescents	Phase 2b  Dose-finding study of sarilumab in children and adolescents with Systemic Juvenile Idiopathic	36 in core part, 72 total	<ul> <li>In children and adolescents, aged 1 to 17 years, with sJIA</li> <li>Open-label, sequential, ascending, repeated dose finding study, 4-week screening, 12-week Tx, 144-week</li> </ul>	<ul> <li>Primary: PK parameters (Up to week 12)</li> <li>Secondary: PD profile, efficacy and the safety of sarilumab in patients with sJIA, Long term</li> </ul>	<ul> <li>SSD: Sep. 2018</li> <li>DE: 2020 (36 patients CSR); 2022 (72 patients CSR), 2024 (CSR with 3</li> </ul>
DRI13926 NCT02991469 <sup>(1)</sup>	Arthritis (sJIA)		extension, 6-week post-Tx	safety of sarilumab in patients with sJIA	year extension)



## Sarilumab (anti-IL6 mAb) Giant Cell Arteritis (GCA)

Immuno-inflammation	

Study	Description	Patients	Design	Endpoints	Status
GCA EFC15068 NCT03600805	Phase 3  Efficacy of sarilumab in combination with corticosteroid in patients with Giant Cell Arteritis	360	<ul> <li>Patients suffering from GCA; new onset active disease or refractory active disease</li> <li>Randomized, parallel assignment, double-blind, placebo controlled, 2 doses of sarilumab tested vs placebo, in association with prednisone</li> <li>Study duration per patient: approximately 82 weeks: up to 6 weeks screening, 52-week Tx period, 26-week follow-up period</li> </ul>	<ul> <li>Primary: % of patients achieving sustained remission at week 52</li> <li>Secondary: components of sustained remission, cumulative corticosteroid dose, time to 1<sup>st</sup> GCA flare, change in glucocorticoid toxicity index, AEs, PK</li> </ul>	SSD: Aug. 2018     DE: primary completion:2021, full completion 2022



# Sarilumab (anti-IL6 mAb) Polymyalgia Rheumatica (PMR)

Immuno-inflammation	

Study	Description	Patients	Design	Endpoints	Status
PMR	Phase 3	280	Patients suffering from PMR,     Randomized, parallel assignment,	Primary: % of patients achieving sustained remission	• SSD: Oct. 2018 • DE: 2021
EFC15160	Efficacy of sarilumab in combination with corticosteroid		double-blind, placebo controlled, 2 groups: sarilumab + CS, placebo +CS	<ul><li>at week 52</li><li>Secondary: components of</li></ul>	
NCT03600818	(CS short tapering regimen) in comparison to placebo (CS long tapering regimen) in patients with Polymyalgia Rheumatica		<ul> <li>Study duration per patient: approximately 62 weeks: up to 4 weeks screening, 52-week Tx period, 6-week follow-up period</li> </ul>	sustained remission, cumulative corticosteroid dose, time to 1st PMR flare, change in glucocorticoid toxicity index, AEs. PK	



## SAR156597 (anti-IL13/IL4 mAb) Scleroderma

Immuno-inflammation	

Study	Description	Patients	Design	Endpoints	Status
POC in Scleroderma ACT14604 NCT02921971	Phase 2a  Efficacy and safety of SAR156597 in the Tx of Diffuse Cutaneous Systemic Sclerosis (dcSSc)	94	Randomized, double-blind, Parallel Assignment, placebo-controlled, 4-week screening, 24-week Tx period, 11-week follow-up	Primary: Change from baseline in mRSS Secondary: Change from baseline in Health Assessment Questionnaire Disability Index (HAQ-DI), assessed with SHAQ, Change from baseline in respiratory function as measured by observed Forced Vital Capacity Change from baseline in observed Carbon Monoxide Diffusing Lung Capacity (DLco [corrected for hemoglobin])	• SSD: Nov. 2016 • DE: 2019



## **SAR440340** (Anti-IL33 mAb)

#### Asthma - single agent and in combination with dupilumab

Immuno-inflammation	Diabetes

Study	Description	Patients	Design	Endpoints	Status
Asthma R3500-AS-1619 NCT02999711	Phase 1  Safety and tolerability of multiple ascending subcutaneous doses of SAR440340 in adult patients with Moderate Asthma	23	<ul> <li>Randomized, double-blind, Placebo-controlled, Multiple ascending dose study of the safety</li> <li>Cohort 1: SAR440340 low dose or placebo</li> <li>Cohort 2: SAR440340 medium dose or placebo</li> </ul>	<ul> <li>Primary: Incidence of TEAEs after repeat subcutaneous administration, severity of TEAEs</li> <li>Secondary: Concentration-time profile of REGN3500 after repeat subcutaneous administration, Immunogenicity, % change in total from baseline forced expiratory volume</li> </ul>	• SSD: Feb. 2017 • DE: 2018
Asthma in combination with dupilumab R3500-AS-1633 NCT03112577	Phase 1  Effetcs of SAR440340 dupilumab, combination of both on markers of inflammation after bronchial allergen challenge in patients with Allergic Asthma	38	<ul> <li>Patients with mild allergic asthma for at least 6 months</li> <li>Randomized, Placebo –controlled, Parallel Assignment</li> <li>5 arms: SAR440340 alone, dupilumab alone, SAR440340 + dupilumab, placebo and fluticasone propionate (active comparator, open label dosing)</li> </ul>	<ul> <li>Primary: Difference in bronchial allergen challenge (BAC)-induced changes in sputum inflammatory markers in individuals treated with SAR440340, dupilumab and the combination of both, or placebo [Screening (pre-treatment) to week 4 after treatment initiation]</li> <li>Secondary: TEAEs (incidence and severity), PK profile, immunogenicity, difference in the BAC-induced changes in sputum inflammatory mRNA signature in individual patients treated with fluticasone</li> </ul>	• SSD: July 2017 • DE: 2020 (completion)



#### SAR440340 (Anti-IL33 mAb) Asthma Combination with dupilumab

Immuno-inflammation	

Study	Description	Patients	Design	Endpoints	Status
Asthma SA and combination with dupilumab  ACT15102  NCT03387852	Phase 2  Efficacy, Safety and Tolerability (POC) of SAR440340 and the coadministration with dupilumab in patients with Moderate-to-severe Asthma, Not Well Controlled on Inhaled Corticosteroid (ICS) Plus Long-acting β2 Adrenergic Agonist (LABA) Therapy	240	Adults patient with a physician diagnosis of asthma for at least 12 months Randomized, Double-blind, Placebocontrolled, Parallel Group, with fluticasone w/wo salmeterol Arm 1: SAR440340 monotherapy Arm 2: dupilumab monotherapy Arm 3: coadministration of SAR440340 and dupilumab Arm 4: placebo Titnt every 2 weeks for 12 weeks Total duration for one patient: appr. 36 weeks, including 4 weeks screening, 12 weeks ttmt and 20 weeks post-ttmt	Primary: LOAC (lost of asthma control ) events Secondary: change in FEV1 (forced expiratory volume 1)	• SSD: Mar. 2018 • DE: 2019



## SAR440340 (Anti-IL33 mAb) COPD

Immuno-inflammation	

Study	Description	Patients	Design	Endpoints	Status
POC in COPD  ACT15104  NCT03546907	Phase 2  Efficacy, Safety and Tolerability (POC) of SAR440340 in patients with moderate-to-severe COPD	340	<ul> <li>Adults patients with a diagnosis of moderate-to-severe COPD for at least 1 year</li> <li>Randomized, Double-blind, Placebocontrolled, on top of standards of care</li> <li>Arm 1: SAR440340</li> <li>Arm 2: placebo</li> <li>Total duration for one patient: 46 to 76 weeks including 10 days to 4 weeks of screening, 24 to 52 weeks Tx period and 20 weeks post IMP Tx period</li> </ul>	Primary: AECOPD (Acute Exacerbations in COPD)  Secondary: change in pre-bronchodilator FEV1 (forced expiratory volume 1), time to 1st COPD exacerbations, AEs, change in post-bronchodilator FEV1	• SSD: Jul. 2018 • DE: 2020



## SAR439794 (TLR4 agonist) Immunomodulator

Immuno-inflammation	

Study	Description	Patients	Design	Endpoints	Status
Peanut Allergy TDR14287 NCT03463135	Phase 1  Safety,Tolerability and Pharmacodynamics of SAR439794 in Peanut Allergic Adult Patients	44	<ul> <li>Randomized, Double-blind, Placebocontrolled, 3 Arms</li> <li>Repeated Sublingual daily Administration of SAR439794 or placebo</li> <li>Total study duration per participant: approximately from 15 to 18 weeks (core study) from screening until end-of-study visit, and 2 phone calls at Week 26 and Week 52 after the last IMP dose</li> </ul>	Primary: Incidence of AEs Secondary: PD parameters (peanut-specific serum IgG levels, peanut-specific serum IgE levels, SkinPrick test)	<ul> <li>SSD: May 2018</li> <li>DE: 2020 (completion)</li> </ul>



# Ferroquine – Artefenomel / OZ439 Malaria

Immuno-inflammation	

Study	Description	Patients	Design	Endpoints	Status
FALCI  DRI12805  NCT02497612	Phase 2  Efficacy, Safety, Tolerability and PK of a single dose regimen of Ferroquine with Artefenomel (OZ439) in adults and children with Uncomplicated Plasmodium Falciparum Malaria	662	<ul> <li>Patients from 6 months to 70 years suffering from mono-infection by P. falciparum</li> <li>Randomized, Double-blind, Parallel Assignment</li> <li>4 doses of ferroquine associated to 1 dose of artefenomel according to age and body weight</li> <li>Study duration: up to 67 days for each patient</li> </ul>	Primary: % of patients with Polymerase Reaction Chain (PCR)-adjusted Adequate Clinical and Parasitological Response (ACPR) Secondary: Time to reemergence, Time to recrudescence, Parasite clearance time, % of patients with PCR - crude ACPR, SAE, AESI, TEAE, % of patients with PCR - adjusted ACPR	<ul><li>SSD: Jul. 2015</li><li>DE: 2020</li><li>Full completion 2021</li></ul>
<b>ACT14665</b> NCT03660839	Phase 2a  Clinical, Parasiticidal Activities and Pharmacokinetics of different doses of Artefenomel (OZ439) and Ferroquine in Patients with Uncomplicated Plasmodium Falciparum Malaria	140	<ul> <li>Patients 14-69 years old with body weight within 35 – 90 kg, with uncomplicated P. falciparum malaria</li> <li>Randomized, Open label, Parallel Assignment</li> <li>4 arms: ferroquine alone and ferroquine with 3 different doses of artefenomel</li> <li>Study duration: 32 days for each patient, including 1 day screening, before the single dose Tx, 5 days post-Tx surveillance (hospitalization) and 24+/- 2 days FU</li> </ul>	<ul> <li>Primary: Polymerase Reaction Chain (PCR)-corrected adequate clinical and parasitological Response (ACPR)</li> <li>Secondary: PCR-crude ACPR, parasitemia, parasite reduction, time to parasite clearance, recrudescence or re-infection, elapsed below LOQ of parasitemia, PRR, AEs, PK</li> </ul>	• SSD: Sep. 2018 • DE: 2019



# **ALX0171**RSV lower respiratory tract infection (LRTI)

Immuno-inflammation	

Study	Description	Patients	Design	Endpoints	Status
ALX0171-C204 NCT03468829	Phase 2  Efficacy and safety of ALX-0171 in adults with respiratory syncytial virus (RSV) respiratory tract infection who have undergone hematopoietic stem cell transplantation (HSCT). Clinical activity, PK, virology, and immunogenicity of inhaled ALX 0171 also to be assessed	75	<ul> <li>Adults, 18 to 75 years, diagnosed with RSV tract infection after HSCT</li> <li>Randomized, Double-Blind, Placebo-Controlled, Parallel Assignment, 3 arms: 2 doses of ALX-0171 and placebo</li> <li>Study duration: 42 days; Tx period: oral inhalation once daily for a max. of 14 days, follow up period of 28 days</li> </ul>	Primary: Time-weight avg. change in RSV nasal viral load Secondary: Incidence of AE, nasal RSV load parameter, clinical stabilization, # of days with/without oxygen supplementation, progression to lower resp. tract disease (LRT) in subjects with upper resp. tract infection (URTI), serum concentration of ALX-0171, and immunogenicity	• SSD: Jun. 2018 • DE: 2020



## ALX0171 RSV LRTI

Immuno-inflammation	

Study	Description	Patients	Design	Endpoints	Status
<b>Japan</b> ALX0171-C203 NCT03418571	Phase 2  Evaluate the safety, tolerability and systemic PK of ALX0171 in Japanese infants and young children with respiratory syncytial virus (RSV) lower respiratory tract infection (LRTI). Antiviral effect, clinical activity, PD and immunogenicity of inhaled ALX 0171 also to be assessed	60	<ul> <li>Infants and young children up to 2 years, hospitalized with RSV LRTI</li> <li>Randomized, Double-Blind, Placebo-Controlled, Sequential Assignment, 5 arms: 4 doses of ALX0171 and placebo</li> <li>Study duration: 28 days; Tx period: oral inhalation once daily for 3 days, follow up period of 28 days after 1<sup>st</sup> dose</li> </ul>	Primary: safety and tolerability measured by serious AE     Secondary: PK, time for viral load to drop below assay quantification limit (BQL), Global Severity Score (sum of 7 scores from feeding, medical interventions, respiratory distress, respiratory rate, apnea, general appearance and body temp), time to clinical response, and immunogenicity	• SSD: Mar. 2018 • DE: 2019



## **Isatuximab** (anti-CD38 mAb) Hematological Malignancies (HM)

Oncology	

Study	Description	Patients	Design	Endpoints	Status
CD38+HM TED10893 NCT01084252	Phase1/2  Dose escalation and efficacy study of isatuximab in patients with selected CD38+ HM	351 (enrollment completed)	Phase 1: MTD Phase 2: Stage 1: isatuximab activity at different doses/schedules and to select dose and regimen as single agent or in combination with dexamethasone Stage 2: activity at the selected dose/schedule from stage1, as single agent (ISA arm) and in combination with dexamethasone (ISAdex arm) Randomized, Open-label, Parallel assignment	Primary: DLT, ORR     Secondary: DOR, PFS, OS, Immune Response	• SSD: Jun. 2010 • DE: 2019



Oncology	

Study	Description	Patients	Design	Endpoints	Status
Lenalidomide Combination RRMM TCD11863 NCT01749969	Phase 1b  Isatuximab, in Combination With lenalidomide and dexamethasone for the Tx of Relapsed or Refractory MM	57 (enrollment completed)	<ul> <li>Patients with diagnosis of MM and documentation of at least 2 prior therapies (induction therapy, autologous stem cell transplant, consolidation and maintenance therapy is considered one prior therapy)</li> <li>Open-label, Parallel assignment</li> <li>Isatuximab (escalating doses) + lenalidomide + dexamethasone</li> <li>Total duration for one patient: up to 21 days screening, at least 4 weeks Tx, up to 60 days follow-up</li> </ul>	Primary: N of patients with AE     Secondary: ORR, PFS, PK, PD, Immunogenicity	• SSD: Feb. 2013 • DE: 2019



Oncology	

Study	Description	Patients	Design	Endpoints	Status
Pomalidomide Combination RRMM TCD14079 NCT02283775	Phase 1b  Isatuximab, in combination with pomalidomide and dexamethasone for the Tx of Relapsed/Refractory MM	89	<ul> <li>Patients previously diagnosed with MM based on standard criteria and currently require Tx because MM has relapsed following a response</li> <li>Open-label, Parallel assignment</li> <li>Isatuximab + pomalidomide + dexamethasone</li> <li>Part A, doses ranging for isatuximab, (5mg/kg, 10mg/kg, 20mg/kg); Part B isatuximab (10mg/kg) from a fixed infusion volume</li> </ul>	<ul> <li>Primary: DLTs, N of patients with AE</li> <li>Secondary: ORR, PK, Immunogenicity, DOR, CB</li> </ul>	SSD: May 2015     DE: 2020     1st set of data 2019     (included in isatuximab BLA)



Oncology	

Study	Description	Patients	Design	Endpoints	Status
Bortezomib Combination RRMM TCD13983 NCT02513186	Phase 1  Isatuximab in combination with bortezomib - based regimens in adult patients with newly diagnosed MM non eligible for transplantation	44	<ul> <li>Patients with a diagnosis of MM with evidence of measurable disease, having received prior Tx with an IMiD and with at least 3 prior lines of therapy</li> <li>Open-label, Single Group assignment</li> <li>Isatuximab (escalating dose) + bortezomib + cyclophosphamide + dexamethasone: VCDI cohort (3-week screening, 50-week duration for induction and then up to disease progression, or unacceptable AEs + follow-up)</li> <li>Isatuximab + bortezomib + dexamethasone + lenalidomide: VRDI cohort to begin after VCDI completion (4-week screening, 24-week duration for induction and then up to disease progression, or unacceptable AEs, + follow-up)</li> </ul>	Primary: DLTs/VCDI For both VCDI & VRDI: ORR, CR Secondary: N of patients with AE, and significant changes in lab tests, PK, DOR	SSD: Sep. 2015     DE: 1st set of data: 2019; next 2020, Full completion: 2023



Oncology	

Study	Description	Patients	Design	Endpoints	Status
RRMM TED14154 NCT02514668	Phase 1  Safety, PK and Efficacy of isatuximab in patients with Relapsed/Refractory MM	58 (enrollment completed)	<ul> <li>Patients with a diagnosis of MM with evidence of measurable disease and with evidence of disease progression</li> <li>Open-label, Single Group assignment, isatuximab (escalating doses)</li> <li>Total duration for one patient: up to 21 days screening, Tx period up to disease progression or AEs, 60- day follow-up at least</li> </ul>	<ul> <li>Primary: Part A: DLTs, N of patients with AE; Part B: ORR</li> <li>Secondary: PK, N of patients with AEs, DOR, CB, PFS, Immunogenicity</li> </ul>	• SSD: Sep. 2015 • DE: 2018



Oncology	

Study	Description	Patients	Design	Endpoints	Status
ISLANDS (Japanese Patients) RRMM  TED14095 NCT02812706	Phase 1 Phase 2 Isatuximab single-agent in Japanese patients with Relapsed and Refractory MM	36 (enrollment completed)	<ul> <li>Patients with a diagnosis of symptomatic MM, having received at least 3 prior lines of therapy OR whose disease is double refractory to an IMiD and a PI</li> <li>Open-label, Single Group assignment, isatuximab monotherapy</li> <li>Total duration for one patient: up to 21-day screening, Tx period up to disease progression or unacceptable AEs, post-Tx follow-up</li> </ul>	Primary: Phase 1: DLTs Phase 2: ORR Secondary: N of patients with AE, CB, OS, PFS, DOR, TTR, PK, PD, Immunogenicity	SSD: Sep. 2016     DE: primary     completion 2018; full     completion 2019



Oncology	

Study	Description	Patients	Design	Endpoints	Status
Cemiplimab Combination RRMM TCD14906 NCT03194867	Phase 1 Phase 2 Safety, PK and Efficacy of isatuximab in combination with cemiplimab in patients with Relapsed/Refractory MM	108	<ul> <li>Patients with a diagnosis MM with evidence of measurable disease, having received prior Tx with an IMiD and with at least 3 prior lines of therapy</li> <li>Randomized, Open-label, Parallel Assignment</li> <li>Isatuximab + cemiplimab</li> <li>3 Arms: Isa +cemi regimen 1; isa + cemi regimen 2; isa alone</li> <li>Total duration for one patient: up to 21-day screening, Tx period up to disease progression or unacceptable AEs, 3-month post-Tx follow-up. Cycle duration 28 days</li> </ul>	<ul> <li>Primary: DLTs, N of patients with AE, ORR</li> <li>Secondary: CB, DOR, TTR, PFS, OS, PK, Immunogenicity (isatuximab and cemiplimab)</li> </ul>	SSD: Feb. 2018     DE: Primary: 2019, full completion :2021



#### **Isatuximab** (anti-CD38 mAb) Multiple Myeloma (MM)

Oncology	

Study	Description	Patients	Design	Endpoints	Status
ICARIA-MM RRMM EFC14335 NCT02990338	Phase 3  Isatuximab, pomalidomide, and dexamethasone to pomalidomide and dexamethasone in Refractory or Relapsed and RRMM	307 (enrollment completed)	Isatuximab in combination with pomalidomide and low-dose dexamethasone, compared to pomalidomide and low-dose dexamethasone in patients with RRMM     Randomized, Open-label, Parallel assignment	<ul> <li>Primary: PFS</li> <li>Secondary: ORR, OS, TTP, PFS, DOR</li> </ul>	• SSD: Jan. 2017 • DE (1st Part) <sup>(1)</sup> : 2019; full completion 2020



#### **Isatuximab** (anti-CD38 mAb) Multiple Myeloma (MM)

Oncology	

Study	Description	Patients	Design	Endpoints	Status
IKEMA RRMM EFC15246 NCT03275285	Phase 3  Isatuximab combined with carfilzomib and dexamethasone vs. carfilzomib with dexamethasone in patients With Relapse and/or Refractory MM previously treated with 1 to 3 prior lines	300	<ul> <li>Patients with MM previously treated with prior 1 to 3 lines and with measurable serum M-protein (≥ 0.5 g/dL) and/or urine M-protein (≥ 200 mg/24 hours)</li> <li>Randomized, Open-label, Parallel assignment, 2-arm: (a) isatuximab +carfilzomib+dexamethasone, (b) carfilzomib+dexamethasone</li> </ul>	<ul> <li>Primary: PFS</li> <li>Secondary: ORR, % of patients with CR, and VGPR, OS, TTP, Second PFS, DOR, AE, PK, Immunogenicity</li> </ul>	<ul> <li>SSD: Oct. 2017</li> <li>DE (1st Part)<sup>(1)</sup>: 2020; full completion: 2023</li> </ul>



#### **Isatuximab** (anti-CD38 mAb) Multiple Myeloma (MM) Ti

Oncology	

Study	Description	Patients	Design	Endpoints	Status
IMROZ NDMM Ti EFC12522 NCT03319667	Phase 3  Isatuximab in combination with bortezomib (Velcade®), lenalidomide (Revlimid®) and dexamethasone vs. bortezomib, lenalidomide and dexamethasone in patients with newly diagnosed MM not eligible for transplant	440	<ul> <li>Newly diagnosed MM not eligible for transplant due to age (≥ 65 years) or patients &lt; 65 years with comorbidities impacting possibility of transplant</li> <li>Randomized, Open-label, Parallel assignment</li> <li>IVRd arm (Isatuximab/bortezomib/lenalidomide /dexamethasone)</li> <li>VRd arm (Bortezomib/lenalidomide /dexamethasone)</li> <li>Ird crossover arm (Isatuximab/lenalidomide/dexamethasone)</li> <li>Total duration for each patient: screening period up to 4 weeks, induction period of 24 weeks, continuous Tx period and crossover when applicable</li> </ul>	Primary: PFS     Secondary: ORR, % of patients with CR, and VGPR, % of patients with MRD (Minimal Residual Disease) negative, OS, TTP, DOR, PFS on next line of therapy (PFS2), AE, PK, Immunogenicity, QOL	• SSD: 2017 • DE (1st Part) <sup>(1)</sup> : 2021, full completion: 2025



#### **Isatuximab** (anti-CD38 mAb) Multiple Myeloma (MM) Te

Oncology	

Study	Description	Patients	Design	Endpoints	Status
NDMM Te  GMMG HD7 NCT03617731	Phase 3  Effect of Isatuximab in induction therapy with lenalidomide - bortezomib - dexamethasone (RVd) and lenalidomide maintenance Tx in patients with newly diagnosed myeloma	662	<ul> <li>Confirmed diagnosis of untreated multiple myeloma requiring systemic therapy and eligible for high dose therapy and autologous stem cell transplantation</li> <li>Randomized, Open-label, Parallel assignment</li> <li>Induction: 2 arms: IA: 3 cycles RVd, IB: 3 cycles RVd + isatuximab</li> <li>After induction therapy autologous stem cell transplantation performed,</li> <li>Maintenance: 2 arms: IIA lenalidomide for 3 years; IIB: lenalidomide + isatuximab for 3years</li> </ul>	<ul> <li>Primary: MRD negative after induction Tx, PFS after 2<sup>nd</sup> randomization (IIA &amp; IIB)</li> <li>Secondary: PFS, OS, CR, MRD, Best response to Tx, PFS after next line of therapy from 2<sup>nd</sup> randomization, AEs, QOL, PK, immunogenicity</li> </ul>	SSD: Oct. 2018     DE: 1st part Q4 2021 for MRD negativity after induction and 2023 (PFS IA); full completion 2025



# **Isatuximab** (anti-CD38 mAb) combination cemiplimab (PD-1 inhibitor) – Advanced Malignancies

Oncology	

Study	Description	Patients	Design	Endpoints	Status
Advanced Malignancies ACT15319 NCT03367819	Phase 1/2  Safety and tolerability of Isatuximab in combination with cemiplimab in patients with metastatic castration-resistant prostate cancer (mCRPC) or patients with non-small cell lung cancer (NSCLC)	134	In Patients with metastatic, castration-resistant prostate cancer (mCRPC) who are naïve to anti-programmed cell death-1 (PD-1)/programmed cell death-ligand 1 (PDL-1)-containing therapy, or non-small cell lung cancer (NSCLC) who progressed on anti-PD-1/PDL-1-containing therapy, Randomized, Open-Label, Parallel Assignment Isatuximab alone or in combination with cemiplimab Total duration per patient up to 28 months including 28 days screening period, , up to 24 months ttmt period and 3 months safety FU	<ul> <li>Primary: Safety, tolerability, RR</li> <li>Secondary: Immunogenicity (isa and cemi), PK, tumor burden change, DR, PFS, Disease Control Rate</li> </ul>	• SSD: 2018 • DE: 2021



# **Isatuximab** (anti-CD38 mAb) combination atezolizumab (PD-1 inhibitor) – Advanced Malignancies

 Immuno-inflammation
 Diabetes

 Oncology
 Cardiovascular

 Rare Diseases
 Rare Blood Disorders

Study	Description	Patients	Design	Endpoints	Status
ACT15377 NCT03637764	Phase 1/2  Safety, Preliminary Efficacy and Pharmacokinetics of Isatuximab monotherapy or in combination with Atezolizumab in patients with Advanced Malignancies	350	In Patients with a known diagnosis of either unresectable HCC, platinum-refractory /recurrent /metastatic SCCHN, platinum-resistant/refractory EOC with evidence of measurable disease or recurrent GBM     Non-Randomized, Open-Label, Parallel Assignment     Isatuximab alone or in combination with atezolizumab	<ul> <li>Primary: DLTs, AEs, MTD, Recommended Phase 2 dose, RR, PFS</li> <li>Secondary: immunogenicity (Isatuximab and atezolizumab), tumor burden change, disease control rate, DR, PFS, RR, PK</li> </ul>	• SSD: Aug. 2018 • DE: 2022



#### **Cemiplimab** (PD-1 inhibitor) Advanced Malignancies (AM)

Oncology	

Study	Description	Patients	Design	Endpoints	Status
AM  R2810-ONC- 1423  NCT02383212	Phase 1  A first-in-human study of repeat dosing with cemiplimab, as single therapy and in combination with other Anti-Cancer therapies in patients with AM	398	<ul> <li>Non-Randomized, Open-label, Parallel assignment, ascending-dose</li> <li>Monotherapy, cemiplimab alone</li> <li>Dual combination: cemilplimab in combination with hypofractionated radiotherapy or with cyclophosphamide or with docetaxel</li> <li>Triple combination: cemiplimab with hypofractionated radiotherapy plus cyclophosphamide, or hypofractionated radiotherapy plus GM-CSF or carboplatin plus paclitaxel or carboplatin plus pemetrexed or carboplatin plus docetaxel</li> <li>Quadruple combination: cemiplimab with hypofractionated radiotherapy plus GM-CSF plus cyclophosphamide</li> </ul>	<ul> <li>Primary: TEAE, Incidence of abnormal laboratory findings, N of participants with DLT</li> <li>Secondary, RECIST as measured by CT or MRI, Immune-Related Response</li> </ul>	• SSD: Jan. 2015 • DE: 2020



#### **Cemiplimab** (PD-1 inhibitor) Advanced Malignancies (AM)

Oncology	

Study	Description	Patients	Design	Endpoints	Status
PK in Japanese patients AM  R2810-ONC- 1622 NCT03233139	Phase 1  To investigate the safety and PKs of cemiplimab in Japanese patients with AM	81	<ul> <li>Part 1: Histologically or cytologically confirmed diagnosis of malignancy with no alternative standard-of-care therapeutic option</li> <li>Part 2: Histologically or cytologically documented squamous or non-squamous NSCLC with stage IIIB or stage IV disease who received no prior systematic ttmt for recurrent or metastatic NSCLC. In Part 2 patients must have available archival or newly obtained formalin-fixed tumor tissue from a metastatic/recurrent site, which has not previously been irradiated</li> <li>Sequantial assignment, Open-label, non-randomized</li> <li>3 arms: Part 1: cemiplimab; Part 2/cohort A: cemiplimab; Part 2/cohort B: cemiplimab + ipilimumab + platinum doublet chemotherapy</li> </ul>	Primary: TEAEs cemiplimab PK parameters     Secondary: Immunogenicity against cemiplimab, ORR, DOR	SSD: Sep. 2017     DE: primary 2019; full completion 2023



#### **Cemiplimab** (PD-1 inhibitor) Combination REGN3767 Advanced Malignancies (AM)

Oncology	

Study	Description	Patients	Design	Endpoints	Status
R3767-ONC- 1613 NCT03005782	Phase 1  To investigate the safety and PKs of REGN3767 (anti LAG-3 mAb) to determine the recommended Phase 2 dose (RP2D) as monotherapy and in combination with cemiplimab in patients with advanced malignancies	546	<ul> <li>Histologically or cytologically confirmed diagnosis of malignancy with no alternative standard-of-care therapeutic option</li> <li>Non-randomized, Parallel Group assignment, Open-label</li> <li>Group A: REGN3767, 4 sequential dose cohorts, each cohort receiving 1 of 3 ascending dose levels. 1 tumor-specific cohort treated with the RP2D during dose expansion</li> <li>Group B: REGN3767+cemiplimab, same design; 9 tumor-specific cohorts treated with RP2D</li> </ul>	<ul> <li>Primary: DLTs, PK parameters, AEs, SAEs, death and lab. abnormalities, response rate</li> <li>Secondary: Response rate, duration of response, disease control rate, PFS, Aes, SAEs, death, lab. abnormalities immunogenicity</li> </ul>	SSD: Nov. 2016     DE: Primary     completion 2021, full     completion 2022



# **Cemiplimab** (PD-1 inhibitor) Melanoma

Oncology	

Study	Description	Patients	Design	Endpoints	Status
Biomarkers Melanoma R2810-ONC- 1606 NCT03002376	Phase 1  Exploratory Tumor Biopsydriven study to understand the relationship between biomarkers and clinical response in Melanoma patients receiving cemiplimab	50	<ul> <li>For Histologically confirmed diagnosis of stage III (unresectable) or stage IV cutaneous melanoma (non-acral lentiginous) with at least 1 lesion that is measurable by RECIST 1.1 criteria and accessible for biopsies</li> <li>Non-Randomized, Open-label, Parallel assignment</li> <li>Group 1: Patients with metastatic CSCC: to distant sites or lymph nodes. cemiplimab administered intravenously every 2 weeks</li> <li>Group 2: Patients with unresectable locally advanced CSCC. cemiplimab administered intravenously every 2 weeks</li> <li>Group 3: Patients with metastatic CSCC, to distant sites or lymph nodes. cemiplimab administered intravenously every 3 weeks</li> </ul>	Primary: Correlation between changes in the tumor microenvironment and the change in tumor volume following cemiplimab Tx Secondary: Correlation between baseline tumor characteristics and the change in tumor volume following Tx, cemiplimab serum concentrations, antibodies levels, PFS, ORR	• SSD: Apr. 2017 • DE (1st Part) (1): 2019; full completion: 2020



## **Cemiplimab** (PD-1 inhibitor) Head and Neck

Oncology	

Study	Description	Patients	Design	Endpoints	Status
Biomarkers Head & Neck R2810-ONC- 1655 NCT03198130	Phase 1  Exploratory Tumor Biopsydriven study to understand the relationship between biomarkers and clinical response in Immunomodulatory Treatment-Naïve patients with Recurrent and/or Metastatic Squamous Cell Carcinoma of Head and Neck receiving cemiplimab	30	<ul> <li>For Histologically confirmed diagnosis recurrent and/or metastatic SCCHN (squamous cell carcinoma of the head and neck) with no curative options with at least 1 lesion that is measurable by Response Evaluation Criteria in Solid Tumors (RECIST)</li> <li>Open-label, Single Group Assignment</li> </ul>	Primary: Correlation between changes in the tumor microenvironment and the change in tumor volume following cemiplimab Tx Secondary: Correlation between baseline tumor characteristics and the change in tumor volume following Tx, ORR, PFS, TAES, cemiplimab serum concentration, anticemiplimab antibodies level	<ul> <li>SSD: Jul. 2017</li> <li>DE (1st Part) (1): 2019; full completion 2020</li> </ul>



### Cemiplimab (PD-1 inhibitor) Cutaneous Squamous Cell Carcinoma (cscc)

Study	Description	Patients	Design	Endpoints	Status
Advanced CSCC R2810-ONC- 1540 NCT02760498	Phase 2  Cemiplimab monotherapy for patients with metastatic (nodal or distant) CSCC (Groups 1 and 3) or with unresectable locally advanced CSCC (Group 2)	182	Non-Randomized, Open-label, Parallel assignment Group 1: Patients with metastatic CSCC: to distant sites or lymph nodes cemiplimab administered intravenously every 2 weeks Group 2: Patients with unresectable locally advanced CSCC. cemiplimab administered intravenously every 2 weeks Group 3: Patients with metastatic CSCC: to distant sites or lymph nodes, cemiplimab administered intravenously every 3 weeks	<ul> <li>Primary: ORR (96 weeks), Groups 1 and 3: RECIST version 1.1 will be used to determine ORR, Group 2: Clinical response criteria will be used to determine ORR</li> <li>Secondary: Investigator Assessments of ORR, DOR, PFS, OS, CRR</li> </ul>	• SSD: May 2016 • DE: 2020
Expanded Access CSCC	Expanded Access Tx IND/Protocol	Intermediate- size Population			
R2810-ONC- 17103 NCT03492489	Provide access to cemiplimab to patients with mCSCC or locally advanced CSCC, who are not candidate for surgery prior to cemiplimab being commercially available				



## Cemiplimab (PD-1 inhibitor) Basal Cell Carcinoma (BCC)

Oncology	

Study	Description	Patients	Design	Endpoints	Status
R2810-ONC- 1620 NCT03132636	Phase 2  Cemiplimab in patients with Advanced BCC who experienced progression of disease on Hedgehog Pathway Inhibitor Therapy, or were intolerant of Prior Hedgehog Pathway Inhibitor Therapy	137	<ul> <li>Patients with confirmed diagnosis of invasive BCC</li> <li>Non-Randomized, Open-label, Parallel assignment</li> <li>Group 1: Patients with metastatic BCC</li> <li>Group 2: Patients with unresectable locally advanced BCC</li> </ul>	Primary: ORR for mBCC measured by RECIST version 1.1 ORR for unresectable locally advanced BCC measured by Composite Response Criteria Secondary: DOR, CR, PFS, OS, TEAEs, PK, immunogenicity	SSD: July 2017 DE (1st Part)(1): 2020; full completion 2021



Oncology	

50

Study	Description	Patients	Design	Endpoints	Status
mNSCLC  R2810-ONC- 1624  NCT03088540	Phase 3  First-line Tx in patients with advanced or metastatic NSCLC whose tumors express PD-L1, vs. Platinum Based Chemotherapy	300(1)	For histologically or cytologically documented squamous or non squamous NSCLC with stage IIIB or stage IV disease who received no prior systemic Tx for recurrent or metastatic NSCLC     Randomized, Open-label, Cross-over assignment     Active Comparator: Standard-of-care chemotherapy: paclitaxel + cisplatin OR paclitaxel + carboplatin OR gemcitabine + cisplatin or gemcitabine + carboplatin OR Pemetrexed + cisplatin followed by optional pemetrexed maintenance OR pemetrexed + carboplatin followed by optional pemetrexed maintenance	<ul> <li>Primary: PFS as assessed by a blinded Independent review committee using RECIST 1.1</li> <li>Secondary: OS, Objective response rates, BOR, DOR</li> </ul>	• SSD: May 2017 • DE: 2021



(1) Sample size increase planned

Oncology	

Study	Description	Patients	Design	Endpoints	Status
mNSCLC  R2810-ONC- 16113  NCT03409614	Phase 3  Combination of cemiplimab, ipilimumab and Platinum-based Doublet Chemotherapy in 1st Line Tx of patients with advanced or metastatic NSCLC with tumors expressing PD-L1<50%	690	For histologically or cytologically documented squamous or non squamous NSCLC with stage IIIB or IIIC disease who are not candidates for Tx with definitive concurrent chemoradiation or stage IV disease who received no prior systemic Tx for recurrent or metastatic NSCLC     Randomized, Open-label, Parallel assignment     Arm 1: Standard of care Platinum-based doublet chemotherapy     Arm 2: cemiplimab + Platinum-based doublet chemotherapy     Arm 3: cemiplimab + abbreviated chemotherapy + ipilimumab	<ul> <li>Primary: PFS as assessed by a blinded Independent review committee using RECIST 1.1</li> <li>Secondary: OS, ORR, TEAEs, DLTs, SAEs, death, lab. abnormalities, OS, QoL</li> </ul>	• SSD: Mar. 2018 • DE: 2022



Oncology	

Study	Description	Patients	Design	Endpoints	Status
mNSCLC R2810-ONC- 16111 NCT03515629	Phase 3  Combination of cemiplimab, Platinum-based Doublet Chemotherapy, and ipilimumab vs pembrolizumab in in 1st Line Tx of patients with advanced or metastatic NSCLC with tumors expressing PD-L1 ≥ 50%	585	<ul> <li>For histologically or cytologically documented squamous or non squamous NSCLC with stage IIIB or stage IV disease who received no prior systemic Tx for recurrent or metastatic NSCLC</li> <li>Randomized, Open-label, Parallel assignment</li> <li>Arm 1: pembrolizumab</li> <li>Arm 2: cemiplimab + ipilimumab</li> <li>Arm 3: cemiplimab + chemotherapy + ipilimumab</li> </ul>	<ul> <li>Primary: PFS as assessed by a blinded Independent review committee using RECIST 1.1</li> <li>Secondary: OS, ORR, TEAEs, DLTs, SAEs, death, lab. abnormalities, OS, QoL</li> </ul>	• SSD: June 2018 • DE: 2023



Oncology	

Study	Description	Patients	Design	Endpoints	Status
mNSCLC  R2810-ONC- 1763  NCT03430063	Phase 2  Combination of standard and High dose of cemiplimab and ipilimumab in 2 <sup>nd</sup> Line Tx of patients with Advanced NSCLC	252	For histologically or cytologically documented squamous or non squamous NSCLC with stage IIIB or IIIC and not candidates for definitive chemoradiation or stage IV. Patients must have PD after receiving one prior line of chemotherapy Tx for advanced NSCLC     Randomized, Open-label, Parallel assignment     Arm 1: cemiplimab standard dose     Arm 2: cemiplimab + ipilimumab standard doses     Arm 3: cemiplimab High dose	<ul> <li>Primary: ORR</li> <li>Secondary: OS, PFS, TEAEs, SAEs, death, lab. abnormalities</li> </ul>	• SSD: May 2018 • DE: 2022



#### Cemiplimab (PD-1 inhibitor) Combination REGN4659 Non-Small Cell Lung Cancer (NSCLC)

Study	Description	Patients	Design	Endpoints	Status
mNSCLC R4659-ONC- 1795 NCT03580694	Phase 1  Cemiplimab in combination with REGN4959 in the Tx of patients with advanced or mNSCLC	134	<ul> <li>For histologically or cytologically documented squamous or non squamous NSCLC with stage IIIB or stage IV who received no prior systemic Tx for recurrent or mNSCLC; with expression of PDL-1in ≥ 50% of tumors cells</li> <li>Non-Randomized, Open-label, Parallel assignment</li> <li>Arm 1: cemiplimab</li> <li>Arm 2: cemiplimab + REGN4659</li> </ul>	<ul> <li>Primary: DLTs, TEAEs, immune-related AEs, SAEs, deaths, lab. abnormalities, ORR, PK of both products</li> <li>Secondary: ORR, BOR, DOR, disease control rate, PFS, OS</li> </ul>	• SSD: June 2018 • DE: 2021



## **Cemiplimab** (PD-1 inhibitor) Cervical cancer (CC)

Oncology	

Study	Description	Patients	Design	Endpoints	Status
R2810-ONC- 1676 NCT03257267	Phase 3  Cemiplimab vs. therapy of Investigator Choice chemotherapy in Recurrent or Metastatic Platinum-Refractory CC	436	<ul> <li>Patients with recurrent or metastatic platinum-refractory CC for which there is no curative intent option</li> <li>Randomized, Open-label, Parallel assignment, Tx cycle 6 weeks, Planned Tx for up to 96 weeks</li> <li>2 arms: cemiplimab and Investigator Choice (IC) chemotherapy</li> </ul>	Primary: OS Secondary: PFS, ORR, DOR, QOL	<ul> <li>SSD: Oct. 2017</li> <li>DE (1st Part)<sup>(1)</sup>: 2020; next 2022; full completion 2023</li> </ul>



#### **Cemiplimab** (PD-1 inhibitor) Combination REGN4018 Ovarian cancer (OC)

 Immuno-inflammation
 Diabetes

 Oncology
 Cardiovascular

 Rare Diseases
 Rare Blood Disorders

 MS, Neuro, Gene therapy
 Vaccines

Study	Description	Patients	Design	Endpoints	Status
CC R4018-ONC- 1721 NCT03564340	Phase 1/2  REGN4018 alone or in combination with cemiplimab in patients with Platinum-Resistant Ovarian Cancer	264	<ul> <li>Histologically or cytologically confirmed diagnosis of advanced, epithelial ovarian (except carcinosarcoma), primary peritoneal, or fallopian tube cancer with CA-125 ≥ 2 xULN, progression or relapse within 6 months of the most recent Tx with Platinum-containing chemotherapy, documented progression and no standard therapy options</li> <li>Non- Randomized, Open-label, Parallel assignment</li> <li>Arm 1: REGN4018</li> <li>Arm 2: REGN4018 + cemiplimab</li> </ul>	<ul> <li>Primary: DLTs, TEAEs, SAEs, deaths, lab abnormalities, drugs serum concentrations, ORR</li> <li>Secondary: BOR, DOR, disease control, PFS, CA-125</li> </ul>	• SSD: May 2018 • DE: 2022



#### SAR439459 (TGFß inhibitor mAb) Advanced Solid Tumors (AST)

Oncology	

Study	Description	Patients	Design	Endpoints	Status
AST Monotherapy and combination with cemiplimab  TCD14678 NCT03192345	Phase 1/1b  Safety, PK, PD and Anti-tumor activity of SAR439459  Monotherapy and in combination with cemiplimab in adult patients with AST	170 expected	<ul> <li>Patients with histologically confirmed, advanced unresectable or metastatic solid tumor</li> <li>Randomized, Open-label, Parallel assignment</li> <li>Part 1A: SAR439459 monotherapy escalating doses</li> <li>Part 2A: SAR439459 monotherapy/14-day cycle with the previously recommended dose</li> <li>Part 1B: SAR439459 escalating dose + cemiplimab standard dose</li> <li>Part 2B: SAR439459 at previously recommended dose + cemiplimab standard dose</li> <li>Escalation periods non randomized followed by expansion periods randomized</li> </ul>	<ul> <li>Primary: incidence of DLTs (Part 1), ORR (Part 2)</li> <li>Secondary: Safety profile, Immunogenicity, PK, PFS (Part 2), TTP (Part 2)</li> </ul>	• SSD: Jun. 2017 • DE: 2021



# **SAR408701** (maytansin loaded anti-CEACAM5 mAb) Advanced Solid Tumors (AST) 1/2

Oncology	

Study	Description	Patients	Design	Endpoints	Status
First-in-Human TED13751 NCT02187848	Phase 1 Phase 2 Safety, PK and antitumor activity of SAR408701 in patients with AST	262 expected	<ul> <li>Patients with locally advanced or metastatic solid malignant tumor</li> <li>Non-Randomized, Open-label, Parallel assignment</li> <li>Arm 1: SAR408701 monotherapy escalating cohorts</li> <li>Arm 2: SAR408701 expansion cohort in CRC with MTD previously defined</li> <li>Arm 3: SAR408701 expansion cohort lung adenocarcinoma (nsq NSCLC high expressers = CEACAM5 &gt;50% 2/3+) at MTD</li> <li>Arm 4: SAR408701 expansion cohort gastric adenocarcinoma at MTD</li> <li>Arm 5: SAR408701 loading dose at first cycle followed by MTD</li> <li>Arm 6: SAR408701 expansion cohort lung adenocarcinoma (Lung bis, nsq NSCLC moderate expressers = CEACAM5 &gt;1% 2/3+) ) at MTD</li> <li>Arm 7: SAR408701 expansion cohort SCLC at MTD</li> </ul>	Primary: MTD, Anti-tumor response RECIST     Secondary: Overall Safety, Immunogenicity, PK	• SSD: Sep. 2014 • DE: 2020



## SAR408701 (maytansin loaded anti-CEACAM5 mAb) Advanced Solid Tumors (AST) 2/2

Oncology	

Study	Description	Patients	Design	Endpoints	Status
Japanese patients Monotherapy  TCD15054 NCT03324113	Phase 1  Safety and PK of SAR408701  Monotherapy in Japanese patients with Advanced  Malignant Solid Tumors	9	<ul> <li>Patients with malignant solid tumor</li> <li>Non-Randomized, Open-label, Sequential assignment</li> <li>Phase 1: SAR408701 monotherapy escalating doses/ 4 weeks</li> </ul>	Primary: DLTs, Phase 1 and 1B Secondary: Safety, Immunogenicity, PK, Plasma CEACAM5 levels, Anti-tumor response RECIST	• SSD: Oct. 2017 • DE: 2019



#### SAR439859 (SERD) Breast cancer

Oncology	

Study	Description	Patients	Design	Endpoints	Status
TED14856 NCT03284957	Phase 1 Phase 2  SAR439859 single agent and in combination with palbociclib in Postmenauposal Women with Estrogen Receptor Positive Advanced Breast Cancer	156	<ul> <li>Non-Randomized, Open-label, Parallel Assignment</li> <li>Part A: SAR439859 monotherapy dose escalation</li> <li>Part C: dose escalation for the combination SAR439859 and palbociclib</li> <li>Part B: SAR439859 dose expansion from the dose determined in part A</li> <li>Part D: combination SAR439859 and palbociclib at the doses recommended from part C</li> <li>SAR439859 administered in 28-day cycle; palbociclib in 21-day cycle</li> </ul>	<ul> <li>Primary: Parts A &amp; C:DLTs, Parts B &amp; D: ORR</li> <li>Secondary: Safety, ORR, DCR, DR, PK for both drugs, CYP450 3A induction/inhibition, ER occupancy/PET imaging</li> </ul>	• SSD: Sept. 2017 • DE: 2020



### SAR440234 (T-cell engaging bispecific Ab) Leukemia

Oncology	

Study	Description	Patients	Design	Endpoints	Status
TED15138 NCT03594955	Phase 1 Phase 2  SAR440234 single agent in patients with Relapsed or Refractory Acute Myeloid Leukemia (RR AML), B-cell Acute Lymphoblastic Leukemia (B-ALL) or High Risk Myelodysplasia (HR-MDS)	77	<ul> <li>Patients with confirmed diagnosis of AML (except acute promyelocytic leukemia) or MDS with a risk category intermediate or higher, and not eligible for any Tx known to provide clinical benefit</li> <li>Open-label, Single Group Assignment</li> <li>2 dose escalation schemes</li> <li>Cycle defined as 6 weeks of study Tx</li> <li>Tx may be continued as long as it is clinically beneficial</li> </ul>	Primary: DLTs, allergic reactions/hypersensitivity, ORR, DOR, event-free survival Secondary: AEs, Preliminary Anti-Leukemia Activity, immunogenicity	• SSD: Oct. 2018 • DE: 2021



#### SAR442720 (SHP2 inhibitor) Relapsed/Refractory Solid Tumors

Oncology	

Study	Description	Patients	Design	Endpoints	Status
RMC-4630-01 NCT03634982	Phase 1  Safety, Tolerability, PK and PD profiles of SAR442720 single agent in patients with Relapsed/Refractory Solid Tumors	200	<ul> <li>Patients with advanced solid tumors that have failed, are intolerant or are considered ineligible for standard of care anticancer Tx</li> <li>Open-label, Single Group Assignment</li> <li>1 Arm: SAR442720, oral administration</li> </ul>	Primary: AEs, DLTs     Secondary: PK, pERK (PD markers), ORR, DOR	• SSD: Oct. 2018 • DE: 2021



#### GZ402666 (avalglucosidase alfa) Pompe disease (PD) 1/3

Study	Description	Patients	Design	Endpoints	Status
COMET Late Onset  EFC14028 NCT02782741	Phase 3  To compare efficacy and safety of Enzyme Replacement therapies avalglucosidase alfa (neoGAA) and alglucosidase alfa (Myozyme®/Lumizyme®) in Tx-naïve patients with Lateonset PD	96	<ul> <li>Repeated Biweekly Infusions of avalglucosidase alfa (GZ402666) and alglucosidase alfa in Tx-naïve patients with late-onset PD age 3 years and older</li> <li>Randomized, Double-Blind, Parallel Assignment</li> <li>Total study duration for one patient: 3 years [14-day screening, 49-week blinded Tx period, 96-week open-label Tx and 4-week post-Tx observation period</li> </ul>	Primary: Change in percent predicted forced vital capacity (%FVC) in the upright position, from baseline to 12 months Secondary: Change from baseline to 12 months in sixminute walk test distance walked, maximal inspiratory / expiratory pressure (% predicted), hand-held dynamometry measurement of lower extremity muscle strength in Quick Motor Function Test scores, and 12- Item Short-form health survey scores	• SSD: Oct. 2016 • DE (1st Part): 2020



## **GZ402666** (avalglucosidase alfa) Pompe disease (PD) 2/3

Study	Description	Patients	Design	Endpoints	Status
Mini-COMET Infantile Onset ACT14132 NCT03019406	Phase 2  To assess safety and efficacy of avalglucosidase alfa (neoGAA) in Pediatric patients with infantile-onset PD previously treated With alglucosidase alfa (Myozyme®/Lumizyme®)	20	Repeated bi-weekly infusions of avalglucosidase alfa In Patients with Infantile-onset PD previously treated with alglucosidase alfa (Myozyme®/Lumizyme®) who demonstrate clinical decline or suboptimal clinical response     Randomized, Open-label, Ascending dose, Parallel assignment     Total study duration for one patient: 3 years [14-day screening, 25-week Tx period, a 120-week extension period and 4-week post-Tx observation period	Primary: N of participants with AE Secondary: PK parameters, Change at 6 months from baseline in Gross Motor Function (GMF) Measure-88 Test, revised GMF Classification System score, Pompe specific Pediatric Evaluation of Disability Inventory, Functional Skills Scale, Mobility Domain Test score and Quick Motor Function Test scores, Left Ventricular Mass Index, Eyelid position measurements, Creatine kinase value	• SSD: Oct. 2017 • DE (1st Part): 2022



## **GZ402666** (avalglucosidase alfa) Pompe disease (PD) 3/3

Study	Description	Patients	Design	Endpoints	Status
NEO-EXT  LTS13769  NCT02032524	Phase 2 Phase 3  Long-term safety and PK of repeated biweekly infusions of avalglucosidase alfa (neoGAA) in patients with PD	21	<ul> <li>Repeated biweekly infusions of avalglucosidase alfa In patients with PD who previously completed a avalglucosidase alfa study [adult, senior]</li> <li>Non-randomized, Open-label, single group assignment</li> <li>Total study duration for one patient: 6 years [until the patient withdraws, the Investigator withdraws the patient, or the Sponsor terminates the study]</li> </ul>	Primary: AEs and TEAEs, including IARs & deaths, Hematology, biochemistry and urinalysis, vital signs Secondary: ECG, PK parameters, antiavalglucosidase alfa antibodies, and neutralizing antibody formation in antiavalglucosidase alfa positive patients, anti-alglucosidase alfa IgG antibodies, Skeletal muscle glycogen content, Qualitative and quantitative muscle degenerative assessments MRI, Urinary Hex4, plasma analyses of circulating mRNA and micro RNA, Serum analyses of skeletal muscle RNA expression	• SSD: Feb. 2014 • DE: 2020



#### Olipudase Alfa (rhASM ERT) 1/3 Acid Sphingomyelinase Deficiency (ASMD)

Study	Description	Patients	Design	Endpoints	Status
ASCEND Niemann-Pick disease type B <sup>(1)</sup> DFI12712 NCT02004691	Phase 2 Phase 3  Efficacy, Safety, PD, and PK study of olipudase alfa in patients with ASD	36	Randomized, Double-blinded, Placebocontrolled, Parallel assignment Total study duration for one patient at least 3 years up to 5 years and 3 months [2-month screening, 52-week double-blind Tx period, 4-year and 1 month open label extension period with olipudase	Primary: % change in spleen volume, % change in diffusing capacity of the lung for carbon monoxide (Dlco) Secondary: Change in splenomegaly-related symptom score (except US, where it is part of the primary "combination spleen endpoint"), % change in liver volume, % change in platelet count, change in fatigue severity as measured by item 3 of the Brief Fatigue Inventory scale, change in pain severity as measured by item 3 of the Brief Pain Inventory scale,	• SSD: June 2016 • DE (1st Part) <sup>(2)</sup> : H1 2020
			change in dyspnea severity as measured by the functional assessment of chronic illness therapy dyspnea tool		



#### Olipudase Alfa (rhASM ERT) 2/3 Acid Sphingomyelinase Deficiency (ASMD)

Study	Description	Patients	Design	Endpoints	Status
ASCEND Peds DFI13803 NCT02292654	Phase 1 Phase 2 Safety, Tolerability, PK, and efficacy evaluation of ollipudase alfa in pediatric patients <18 years of age with ASMD	20	<ul> <li>Open-label, ascending dose, Single group assignment</li> <li>Total study duration for one patient approximately 18 months [up to 60-day screening, 64-week Tx period, 37-day post Tx period except if patient enrolled in a long-term extension study]</li> </ul>	<ul> <li>Primary: Safety parameters and Clinically significant changes in laboratory parameters, Clinically significant changes in physical examinations</li> <li>Secondary: PK parameters, Change in sphingomyelin levels and sphingomyelin metabolite levels</li> </ul>	• SSD: June 2015 • DE: H1 2020



#### Olipudase Alfa (rhASM ERT) 3/3 Acid Sphingomyelinase Deficiency (ASMD)

Study	Description	Patients	Design	Endpoints	Status
Long-Term  LTS13632  NCT02004704	Phase 2  Long-term study of olipudase alfa in patients with ASDM	25	<ul> <li>For patients who have completed a previous study with olipudase alfa (DFI13803 for pediatric patients, and DFI13412 for adult patients)</li> <li>Open-label, Single group assignment</li> <li>Total study duration for one patient: 9 years</li> </ul>	Primary: Safety parameters and physical examinations including neurologic examinations, clinical laboratory tests, inflammatory biomarkers, immune response assessment, vital signs, echocardiogram and electrocardiogram, liver biopsy and liver ultrasound/doppler for patients previously enrolled in DFI13412 Secondary: Spleen and liver volumes, pulmonary imaging and function tests, hematology and lipid profiles, health outcomes questionnaires For pediatrics patients: Hand X-ray for bone age and bone maturation, Tanner staging and linear patient growth by height Z-score	• SSD: Dec. 2013 • DE: 2023



#### Venglustat (GCS inhibitor) Fabry disease (FD)

Study	Description	Patients	Design	Endpoints	Status
FABRY LONG-TERM LTS14116 NCT02489344	Phase 2  Long-term safety, PD, and exploratory efficacy of venglustat in Tx-naïve adult male patients with FD	8	<ul> <li>Male patients with FD who previously completed study ACT13739</li> <li>Open-label, Single group Assignment</li> <li>Total study duration for one patient: up to 31 months</li> </ul>	<ul> <li>Primary: Safety profile, Clinically significant changes in laboratory parameter, and physical examinations</li> <li>Secondary: Change from baseline in plasma globotriaosylceramide (GL-3), plasma lyso GL-3, Change from baseline in plasma glucosylceramide (GL 1), Urine GL-3</li> </ul>	• SSD: Jul. 2015 • DE: 2018



#### Venglustat (GCS inhibitor) Gaucher disease (GD) Type 3

Study	Description	Patients	Design	Endpoints	Status
LEAP GD Type 3	Phase 2 10	Type 3 group Assignment	<ul> <li>156-week Three part, Open-label, Single group Assignment</li> <li>Part 1: Evaluate CNS biomarkers in</li> </ul>	assessment of PD parameters (GL-1 and lyso GL1) in CSF and plasma Secondary: PK parameters (CSF and Plasma)	<ul> <li>SSD: Jan. 2017</li> <li>DE (1st Part)<sup>(1)</sup>: 2021</li> </ul>
PDY13949 NCT02843035	exploratory efficacy of venglustat in combination with cerezyme in adult patients with GD Type 3		<ul> <li>adult GD type 3 patients that distinguish GD3 from GD type 1,</li> <li>Screen adult GD3 patients who qualify for Ttmt with venglustat in Part 2, Total duration 45 days</li> <li>Part 2 and 3: Safety and tolerability in GD3 patients, Total duration up to 156 weeks including: treatment of 52 weeks (Part 2) and 104 weeks (Part 3) for long term follow-up, respectively</li> </ul>		



### **Venglustat (GCS inhibitor) Autosomal Dominant Polycystic Kidney Disease (ADPKD)**

Study	Description	Patients	Design	Endpoints	Status
STAGED-PKD	Phase 3	560	Randomized, double-blind, placebo- controlled 2-stage study (18 and 24)	Primary: Rate of change in total kidney volume (TKV) based on	<ul> <li>SSD: FPI in Q4 2018</li> <li>DE (1st Part)<sup>(1)</sup>: 2021</li> </ul>
EFC15392 NCT03523728	Efficacy, safety, tolerability and PK of venglustat in patients at risk of rapidly progressive ADPKD		<ul> <li>Study duration per participant is 26 months (maximal) per stage, including a screening period of 15 days, run-in period of 2 weeks, a 24-month treatment period, and a follow-up 1 month after final dose</li> </ul>	magnetic resonance imaging (MRI) and rate of change in glomerular filtration rate (eGFR)  Secondary: Rate of change in eGFR, rate of change in trine osmolaity, rate of change in nocturia, adverse events, assessment of PK, change in lens clarity	51 (i.s. ai) 1. 2021



### **Venglustat (GCS inhibitor) Autosomal Dominant Polycystic Kidney Disease (ADPKD)**

Study	Description	Patients	Design	Endpoints	Status
ADPKD POP14499 NCT0387554	Phase 1  Single dose pharmacokinetic and tolerability study of GZ402671 in subjects with mild, moderate and severe renal impairment, and in matched subjects with normal renal function	24	<ul> <li>Single oral dose under fasting conditions</li> <li>Single center, open label</li> <li>For all subjects: male and/or female subjects between 18 and 79 years of age</li> <li>Subject inclusion conditions: for subjects with autosomal dominant polycystic kidney disease: stable chronic renal impairment. For matched healthy subjects: normal renal function</li> <li>Duration: Approximately 41 days, including a 21-day screening period, a 1-day treatment period, followed by a 9-day period of plasma sampling for assessment of primary endpoints</li> </ul>	Primary: PK parameters: area under the curve (AUC) Day 1 to 10  Secondary: PK parameters (Day 1): Cmax. PK parameters (Days 1-10): AUClast, unbound Cmax, unbound AUC, total body clearance from plasma (CL/F) distribution of Venglustat at steady state (Vss/F), fraction of unbound venglustat in plasma (fu), terminal half-life associated with the terminal slope (t1/2z), and effective half-life (t1/2eff). Urine PK parameters (Days 1- 2): cumulated amount Ae0-24, fraction of dose excreted in urine fe0-24, renal clearance (CLR0-24), and predicted accumulation ratio (Rac,pred)	• SSD: Sep. 2018 • DE: 2019



# Eliglustat Gaucher's Disease (GD) 1/2

Rare Diseases	

Study	Description	Patients	Design	Endpoints	Status
ELIKIDS  GD Type 1/ Type 3  EFC13738  NCT03485677	Phase 3  PK, efficacy and safety with or without Imiglucerase in pediatriac patients with GD Type I/Type 3	60	Non-randomized, open label, two cohort (with and without imiglucerase) Cohort 1: eliglustat monotherapy Cohort 2: eligustat plus imiglucerase	<ul> <li>Primary: PK (Cmax and AUC), adverse events</li> <li>Secondary: changes from baseline as absolute change in g/dL for hemoglobin, % change for platelets, liver volume, and spleen volume; improvement in pulmonary disease, improvement in bone disease, thrombocytopenia, and quality of life</li> </ul>	• SSD: Apr. 2018 • DE (1st Part) <sup>(1)</sup> : 2022



# Eliglustat Gaucher's Disease (GD) 2/2

Rare Diseases	

Study	Description	Patients	Design	Endpoints	Status
EXOSKEL  GD Type 1  EFC13781  NCT02536755	Phase 3  Long Term skeletal response to eliglustat in GD Type 1 adult patients who successfully completed Phase 2 or phase 3 studies	32	Single group assignment, open label	<ul> <li>Primary: change from baseline in bone marrow infiltration, bone mineral density (hips and lumbar spine), skeletal imaging GD bone disease manifestations (lytic lesions, osteonecrosis, fractures and infarcts), clinical GD manifestations (mobility, bone pan, bone crisis), and bone biomarkers</li> <li>Secondary: quality of life, measurement of GD Type 1 biomarkers and safety (i.e. incidence of adverse events, change from baseline in laboratory assessments (hematology), physical examinations)</li> </ul>	• SSD: Oct. 2015 • DE (1st Part) <sup>(1)</sup> : 2019



# **Teriflunomide Multiple Sclerosis (MS)**

Immuno-inflammation Diabetes

Oncology Cardiovascular

Rare Diseases Rare Blood Disorders

MS, Neuro, Gene therapy Vaccines

Study	Description	Patients	Design	Endpoints	Status
TERIKIDS	Phase 3	165	Patients with RMS meeting the criteria of     MS based on Ms Panel decitoria 2010.	Primary: Time to first clinical	• SSD: Jul. 2014
RMS EFC11759 NCT02201108	Efficacy, Safety and PK of teriflunomide in Pediatric Patients With Relapsing Forms of MS		<ul> <li>MS based on McDonald criteria 2010 and International Pediatric MS Study Group criteria for pediatric MS</li> <li>With at least one relapse (or attack) in the 12 months preceding randomization or at least two relapses (or attack) in the 24 months preceding randomization</li> <li>Randomized, Double-Blind, Placebo-Controlled, Parallel Group, Tx 96 weeks followed by Open-label extension (96 weeks up to a max of 192 weeks after randomization), follow-up 4 weeks after Tx discontinuation</li> </ul>	relapse after randomization  • Secondary: % of relapse free patients, N of new/newly enlarged T2 lesions, N of T1 Gd-enhancing T1 lesions, Change in volume of T2 lesions, of T1 hypointense lesions, brain atrophy, % of patients free of new or enlarged MRI T2-lesions, Change in performance on SDMT and Cognitive Battery Test, Safety, PK	• DE: 2019



# Alemtuzumab Relapsing Remitting Multiple Sclerosis (RRMS)

Oncology Cardiovascular

Rare Diseases Rare Blood Disorders

MS, Neuro, Gene therapy Vaccines

Study	Description	<b>Patients</b>	Design	Endpoints	Status
LemKids RRMS EFC13429 NCT03368664	Phase 3  Efficacy, Safety and Tolerability of Alemtuzumab in Pediatric Patients With Relapsing Remitting MS (RRMS) with disease activity on prior disease modifying therapy DMT	50	In pediatric patients from 10 to <18 years of age with RRMS with disease activity on prior DMT Open-label, rater-blinded, single-arm, cross-over study The study will consist of different phases: Prior DMT Phase (~4 months) — efficacy measurements on current DMT Alemtuzumab Treatment Phase (~2 years) - The MRI based primary efficacy endpoint will be assessed over a 4 month period during this phase compared to an equal period during the prior DMT phase Safety Monitoring Phase — safety monitoring for all patients treated with alemtuzumab (4 years post last treatment with alemtuzumab)	Primary: The number of new or enlarging T2 lesions on brain MRI, during continuation of prior DMT (Period 1) compared to an equal period after the first course of alemtuzumab treatment (Period 2) Secondary: The proportion of patients with new or enlarging T2 lesions, Annualized relapse rate at Year 2, Assessment of cognition test scores, Additional secondary endpoints, including PK/PD parameters and QoL measures	• SSD: Oct. 2017 • DE: 2025



# **SAR422459** (ABCA4 gene therapy) Stargardt Disease

MS, Neuro, Gene therapy	

Study	Description	Patients	Design	Endpoints	Status
Stargardt's Macular Degeneration TDU13583 NCT01367444	Phase 1 Phase 2/2a Safety and tolerability of ascending doses of SAR422459 in patients with Stargardt's Macular Degeneration	46	<ul> <li>Patients with a diagnosis of Stargardt's Macular Degeneration, with at least one pathogenic mutant ABCA4 allele on each chromosome</li> <li>Non-randomized, Single Group assignment, Open-label, ascending doses</li> </ul>	<ul> <li>Primary: IAE, Change from baseline in ocular safety assessments</li> <li>Secondary: Delay in retinal degeneration</li> </ul>	• SSD: Jun. 2011 • DE: 2020
Stargardt's Macular Degeneration LTS13588 SG1/002/11 NCT01736592	Phase 1/2  Follow-up study of SAR422459 in patients With Stargardt 's Macular Degeneration	46	<ul> <li>Long Term safety and tolerability of SAR422459 in patients with Stargardt 's Macular Degeneration</li> <li>No ttmt administered, in this LTS only follow-up after ttmt in TDU13583</li> <li>Patients will be followed for 15 years after treatment</li> </ul>	Primary: IAE     Secondary: Delay in retinal degeneration	• SSD: Dec. 2012 • DE: 2034



# SAR421869 (Myosin 7A gene therapy) Usher 1B Syndrome

MS. Neuro. Gene therapy	

Study	Description	Patients	Design	Endpoints	Status
UshStat® Usher Syndrome Type 1B  TDU13600 NCT01505062	Phase 1 Phase 2a  Safety and tolerability of ascending doses of subretinal injections of UshStat® in patients with Retinitis Pigmentosa associated with Usher syndrome Type 1B	18	<ul> <li>Patients with clinical and molecular diagnosis of Retinitis Pigmentosa associated with Usher Syndrome type 1B. With at least one pathogenic mutation in the MYO7A gene on each chromosome</li> <li>Non-randomized, Single Group assignment, Open-label, ascending doses</li> </ul>	Primary: IAE     Secondary: Delay in retinal degeneration	• SSD: Mar. 2012 • DE: 2021
UshStat® Usher Syndrome Type 1B  LTS13619 NCT02065011	Phase 2b  Long-Term Safety, Tolerability and Biological Activity of UshStat® in Patients With Usher Syndrome Type 1B	18	<ul> <li>Long-term follow up of patients who received UshStat® in a previous study (TDU13600)</li> <li>Patients will be followed for 15 years after treatment</li> </ul>	Primary: IAE     Secondary: Change from baseline in ocular safety assessments, Delay in retinal degeneration	• SSD: Sep. 2013 • DE: 2036



# **Venglustat** (GCS inhibitor) GBA-PD

Immuno-inflammation Diabetes

Oncology Cardiovascular

Rare Diseases Rare Blood Disorders

MS, Neuro, Gene therapy Vaccines

Study	Description	Patients	Design	Endpoints	Status
MOVES-PD  ACT14820 NCT02906020	Phase 2  Efficacy, safety, pharmacokinetics, and pharmacodynamics of venglustat (GZ402671) in patients with Parkinson's Disease (PD) carrying a glucocerebrosidase gene (GBA) mutation	243	<ul> <li>Patients with PD carrying a GBA mutation or other prespecified variant.</li> <li>Randomized, Double-blind, Placebo Controlled, Parallel Assignment</li> <li>Part 1: Increasing dose of venglustat administered once per day. Duration: up to 48 weeks outside Japan, and up to 64 weeks in Japan</li> <li>Part 2: venglustat dose determined in Part 1 administered once a day Duration: 5,6-week screening, 52-week Tx period, 104-week follow-up period and 6-week post Tx observation</li> </ul>	Primary: Change from baseline in Movement Disorder Society Unified PD Rating Scale Part II and III score     Secondary: Change from baseline in PD Cognitive Rating Scale, Movement Disorder Society Unified PD Rating Scale Part I, II, and III score, Hoehn and Yahr score	• SSD: Dec. 2016 • DE: 2021



## Insulin glargine / lixisenatide Type 2 Diabetes Mellitus (T2DM)

Immuno-inflammation	Diabetes

Study	Description	Patients	Design	Endpoints	Status
<b>EFC13794</b> NCT02787551	Phase 3  Efficacy and safety of lixilan vs. GLP-1 receptor agonist in patients with type 2 Diabetes not controlled on GLP-1 RAs + OADs, with an extension period	500	<ul> <li>Patients with T2DM</li> <li>Randomized, Open-label, Active Controlled, Parallel-group</li> <li>Active comparator:         Liraglutide/Exenatide/Exenatide         ER/Albiglutide/Dulaglutide, Metformin, pioglitazone and SGLT2 inhibitor if taken prior to the study continued</li> <li>1st period: up to 2 weeks screening, 26-week Tx period and 3 to 9 days follow-up post Tx</li> <li>Extension period 26-week extension after the 26-week Tx for the lixiLan arm only, 3-day follow-up post extension</li> </ul>	Primary: Change from baseline in HbA1c [Time Frame: Baseline to 26 weeks] Secondary: % of participants reaching HbA1c targets, Change from baseline in FPG, in 7-point SMPG, in 2-hour PPG during standardized meal test, in blood glucose excursion during standardized meal test, in body weight, Symptomatic hypoglycemia, Safety, % of patients requiring rescue therapy	• SSD: Jul. 2016 • DE: 2018



## Insulin glargine / lixisenatide Type 2 Diabetes Mellitus (T2DM) - Japan

Immuno-inflammation	Diabetes

Study	Description	Patients	Design	Endpoints	Status
LIXILAN JP-L EFC14113 NCT02752412	Phase 3  Efficacy and safety of lixilan compared to insulin glargine with Metformin in Japanese patients with T2DM inadequately controlled on Basal Insulin and Oral Antidiabetic Drugs	534	<ul> <li>Japanese Patients with T2DM</li> <li>Randomized, Open-label, Active Controlled, Parallel-group, 2- Tx arm</li> <li>Active comparator: insulin glargine</li> <li>Background therapy: Metformin will be continued</li> <li>Study duration: approximately 41 weeks: up to 2-week screening, 12-week run-in, 26-week randomized Tx period and 3- day post Tx follow-up</li> </ul>	<ul> <li>Primary: Change from baseline in HbA1c</li> <li>Secondary: % of patients reaching HbA1c &lt;7% or ≤6.5%, Change from baseline, in 2-hour PPpG, in blood glucose excursion during standardized meal test, in 7-point SMPG profiles (each time point and average daily value), in body weight, in FPG, in daily dose of insulin glargine, % of patients reaching HbA1c &lt;7% with no body weight gain/no documented symptomatic hypoglycemia, % of patients requiring a rescue therapy,</li> </ul>	• SSD: Aug. 2016 • DE: 2018
				hypoglycemic events, AE, Measurement from baseline of anti-lixisenatide antibodies and of anti-insulin antibodies from baseline	



## Insulin glargine / lixisenatide Type 2 Diabetes Mellitus (T2DM)

Immuno-inflammation	Diabetes

Study	Description	Patients	Design	Endpoints	Status
<b>LIXILAN-D LPS14860</b> NCT03434119	Phase 3  Efficacy and safety of SOLIQUA100/33™ compared to Lantus™ in ethnically/racially diverse patients with T2DM	1200	<ul> <li>Adult patients with T2DM not achieving glycemic control (i.e. HbA1c between 7.5% and 10% (inclusive)) on basal insulin and OADs, and who are Hispanics of any race, non-Hispanic black/African Americans or non-Hispanic Asians</li> <li>Randomized, open-label, active-controlled, multi-center</li> <li>Study duration: 29 weeks (2-week screening, 26-week randomized open-label tx period, 3-day post tx follow-up)</li> </ul>	<ul> <li>Primary: Change from baseline to Week 26 in HbA1c (%) (overall and within each ethnic/racial subgroup evaluated)</li> <li>Secondary: (within each ethnic/racial subgroup evaluated): Patients with HbA1c&lt;7% at week 26; change in 2-hour post-prandial glucose (PPG); 2-hour glucose excursion; change in body weight; change in insulin glargine dose at Week 26. Hypoglycemia events, AE</li> </ul>	• SSD: Feb. 2018 • DE: 2019



# **Lixisenatide Type 2 Diabetes Mellitus (T2DM) Pediatrics**

Immuno-inflammation	Diabetes

Study	Description	Patients	Design	Endpoints	Status
TDR14311 NCT02803918	Phase 1  PK and PD of lixisenatide in Pediatric Patients With T2DM not adequately controlled with metformin and/or basal insulin	24	<ul> <li>Pediatric patients (≥ 10 and &lt; 18 years old with documented T2DM insufficiently controlled with metformin and/or basal insulin</li> <li>Randomized, double-blind, placebocontrolled, dose escalation (3 ascending repeated doses)</li> <li>Study duration: up to 10 weeks including 6-week Tx period with dose escalation every 2 weeks</li> </ul>	<ul> <li>Primary: AEs, TEAEs, number of patients with anti-lixisenatide Ab</li> <li>Secondary: lixisenatide PK parameters, PD ( plasma glucose AUC-0-4,5 hours)</li> </ul>	• SSD: May 2017 • DE: 2020



#### Lantus – Toujeo U300 Type 1 Diabetes Mellitus (T1DM) - Children

Diabetes

Study	Description	Patients	Design	Endpoints	Status
EDITION JUNIOR  EFC13957 NCT02735044	Phase 3  Efficacy and safety of a new formulation of insulin glargine (U300) and Lantus® injected once daily in children and adolescents Age 6 - 17 years with T1DM with a 6-month safety extension period	450	<ul> <li>Children: 6 to 17 years old with T1DM</li> <li>Randomized, Open-label, Parallel-group, 2- Tx arm</li> <li>Active comparator: insulin glargine</li> <li>Study duration: approximately 58 weeks: up to 2-week screening, 6-month comparative Tx period, 6-month comparative extension period and 4-week post Tx follow-up</li> </ul>	<ul> <li>Primary: Change from baseline in HbA1c</li> <li>Secondary: % of patients with HbA1c values of &lt;7.5% and % of patients with FPG of ≤130 mg/dL (7.2 mmol/L) without any episode of severe and/or documented (SMPG &lt;54 mg/dL; 3.0 mmol/L) symptomatic hypoglycemia during the last 3 months of the main 6-month randomized period, Change from baseline in FPG, Change from baseline in 24-hour mean plasma glucose and in variability of 24-hour mean plasma glucose based on 8-point SMPG profiles, % of patients with hypoglycemia, % of patients with hyperglycemia with ketosis, % of patients with AE</li> </ul>	• SSD: April 2016 • DE: 2018



Immuno-inflammation	Diabetes

Study	Description	Patients	Design	Endpoints	Status
SOTA-MONO (301) T2DM EFC14833 NCT02926937	Phase 3  Efficacy and safety of sotagliflozin vs. placebo in patients with T2DM not currently treated with antidiabetic therapy	400	<ul> <li>Patients (male and female) with T2D, who are treated with diet and exercise only during the 12 weeks prior to screening</li> <li>Randomized, Double-blind, Placebocontrolled, Parallel-group, 3-Tx arm, sota dose 1/200mg, sota dose 2/400mg, placebo</li> <li>Study duration: up to 34-week: up to 2-week screening period, 2-week single-blind placebo run-in, 26-week double-blind Tx period and 4-week post Tx follow-up</li> </ul>	Primary: Change from Baseline in HbA1c in comparison of sotagliflozin dose 1 vs. placebo     Secondary: Change from baseline in 2-hour PPG following a mixed meal in comparison of sotagliflozin doses 1/2 vs. placebo, FPG in comparison of sotagliflozin dose 1 vs. placebo, Body weight in comparison of sotagliflozin doses 1/2 versus placebo, % of patients with HbA1c <6.5% in comparison of sotagliflozin dose 1 vs. placebo, % of patients with HbA1c <7.0% in comparison of sotagliflozin dose 1 vs. placebo, Change from Baseline in HbA1c in comparison of sotagliflozin dose 2 vs. placebo, Change from baseline in SBP for patients with baseline SBP ≥130 mmHg in comparison of sotagliflozin dose 1 vs. placebo and SBP for all patients in comparison of sotagliflozin dose 1 vs. placebo and SBP for all patients in comparison of sotagliflozin doses 1/2 vs. placebo	• SSD: Nov 2016 • DE: 2019



Diabetes

Study	Description	Patients	Design	Endpoints	Status
SOTA-MET (302) T2DM EFC14834 NCT02926950	Phase 3  Efficacy and safety of sotagliflozin added to metformin in patients with T2DM who have inadequate glycemic control on metformin	500	<ul> <li>Patients with T2DM currently treated with diet and exercise and on metformin at a stable dose ≥1500 mg/day for at least 12 weeks</li> <li>Randomized, Double-blind, Placebocontrolled, Parallel-group, 2-Tx arm (placebo – sota 400mg), On top of metformin</li> <li>Study duration: up to 87-week: up to 2-week screening period, 2-week single-blind placebo run-in, 26-week double-blind core Tx period, 53-week double-blind extension period and 4-week post Tx follow-up</li> </ul>	<ul> <li>Primary: Change from Baseline in HbA1c</li> <li>Secondary: Change from Baseline I in 2-hour PPG following a mixed meal, in FPG, in body weight % of patients with HbA1c &lt;6.5% - % patients with HbA1c &lt;7.0% Change from Baseline I in systolic blood pressure (SBP) for patients with baseline SBP ≥130 mmHg in SBP for all patients</li> </ul>	• SSD: Nov 2016 • DE: 2019



Diabetes

Study	Description	Patients	Design	Endpoints	Status
SOTA-SU (307) T2DM EFC14835 NCT03066830	Phase 3  Efficacy and safety of sotagliflozin added to a sulfonylurea alone or in combination with metformin in patients with Type 2 Diabetes who have inadequate glycemic control on a sulfonylurea alone or with metformin	500	<ul> <li>Patients with T2DM treated with a sulfonylurea (≥half the maximum recommended dose as per local label or MTD as monotherapy or in combination with metformin (≥1500 mg per day or MTD) each at a stable dose for at least 12 weeks</li> <li>Randomized, Double-blind, Placebocontrolled, Parallel-group, 2-Tx arm (placebo – sota 400mg)</li> <li>On top of sulfonylurea alone or in combination with metformin</li> <li>Study duration: up to 85-week: up to 2-week screening period, 2-week single-blind run-in, 26-week double-blind core Tx period, 53-week double-blind extension period and 2-week post Tx follow-up</li> </ul>	<ul> <li>Primary: Change from Baseline in HbA1c</li> <li>Secondary: Change from baseline in FPG, in body weight, in Systolic Blood Pressure (SBP) for patients with baseline SBP ≥130 mmHg, in SBP for all patients, % of patients with HbA1c &lt;6.5%, % of patients with HbA1c &lt;7.0%</li> </ul>	• SSD: Feb 2017 • DE: 2019



Diabetes

Study	Description	Patients	Design	Endpoints	Status
SOTA-CKD3 (306) T2DM EFC14837 NCT03242252	Phase 3  Evaluate the efficacy and safety of sotagliflozin in patients with T2DM and Moderate Renal Impairment who have inadequate glycemic control	780	<ul> <li>Patients with T2DM (drug-naïve or on antidiabetic therapy) and documented moderate renal insufficiency defined by an estimated glomerular filtration rate (based on the 4 variable Modification of Diet in Renal Disease equation) of ≥30 and &lt;60 mL/min/1.73 m2 (CKD 3A, 3B)</li> <li>Randomized, Double-blind, Placebocontrolled, Parallel-group, 3-Tx arm (placebo – sota 200mg - sota 400mg)</li> <li>Study duration: up to 60-week: up to 2-week screening period, 2-week single-blind run-in, 52-week randomized Tx period and 4-week post Tx follow-up</li> </ul>	<ul> <li>Primary: Change in HbA1c for sota dose 1 and sota dose 2</li> <li>Secondary: Change from Baseline in FPG (doses 1/2) in SBP for patients with baseline SBP ≥130 mmHg (doses 1/2), in SBP for all patients (doses 1/2) and in body weight (doses 1/2), % change in UACR for patients with UACR &gt; 30 mg/g (doses 1/2), % of patients with HbA1c less than 6.5% (doses 1/2), % of patients with HbA1c less than 7.0% (doses 1/2), % of patients with AE (doses 1/2)</li> </ul>	• SSD: Aug 2017 • DE: 2019



Diabetes

Study	Description	Patients	Design	Endpoints	Status
SOTA-CKD4 (306) T2DM EFC15166 NCT03242018	Phase 3  Evaluate the efficacy and safety of sotagliflozin in patients with T2DM and severe renal impairment who have inadequate glycemic control	276	<ul> <li>Patients with T2DM (drug-naïve or on antidiabetic therapy) and documented severe renal insufficiency - CKD4 - defined by an estimated glomerular filtration rate equation (based on the 4 variable modification of diet in renal disease equation) of ≥15 and &lt;30 mL/min/1.73 m2</li> <li>Randomized, Double-blind, Placebocontrolled, Parallel-group, 3-Tx arm (placebo – sota 200mg - sota 400mg)</li> <li>Study duration: up to 60-week: up to 2-week screening period, 2-week single-blind run-in, 52-week randomized Tx period and 4-week post Tx follow-up</li> </ul>	<ul> <li>Primary: Change from Baseline in HbA1c comparing sotagliflozin dose 1 vs. placebo in CKD4 patients</li> <li>Secondary: Change from baseline in HbA1c comparing sotagliflozin dose 2 vs. placebo, in FPG (doses 1/2), in SBP at for patients with SBP greater than or equal to 130 mmHg (doses 1/2), in SBP in all patients (doses 1/2), in body weight (doses 1/2), % change in the UACR for patients with a UACR &gt; 30 mg/g at baseline (doses 1/2), % of patients with HbA1c less than 6.5% (doses 1 and 2), % of patients with HbA1c less than 7.0% (doses 1 and 2), N of patients with AE (doses 1/2)</li> </ul>	• SSD: Aug 2017 • DE: 2019



Diabetes

Study	Description	Patients	Design	Endpoints	Status
SOTA-INS (312) T2DM EFC14868 NCT03285594	Phase 3  Efficacy and safety of sotagliflozin in patients with T2DM who have inadequate glycemic control on Basal Insulin alone or in addition to Oral Antidiabetes Drugs (OADs)	560	<ul> <li>Patients with T2DM using any types of basal insulin alone or in combination with up to 2 OADs</li> <li>Randomized, Double-blind, Placebocontrolled, Parallel-group, 3-Tx arm (placebo – sota 200mg - sota 400mg)</li> <li>Background therapy with insulin glargine (Lantus®) (with or without OADs) throughout the study</li> <li>Study duration: up to 60-week: up to 2-week screening period, 4-week Lantus® titration single-blind placebo run-in period, 52-week double-blind Tx period and 2-week post Tx follow-up</li> </ul>	<ul> <li>Primary: Absolute change in hemoglobin A1c (HbA1c) (for sotagliflozin dose 1)</li> <li>Secondary: Change in FPG (for sotagliflozin doses 1/2), in Body Weight (for sotagliflozin doses 1/2), in HbA1c (for sotagliflozin dose 1/2), in SBP for patients with baseline SBP ≥130 mmHg (for sotagliflozin doses 1/2), in SBP for all patients (for sotagliflozin dose 1)</li> </ul>	• SDD: Sep 2017 • DE: 2019



Diabetes

Study	Description	Patients	Design	Endpoints	Status
SCORED (303) T2DM	Phase 3	10 500	<ul> <li>Patients: T2DM with glycosylated hemoglobin (HbA1c) ≥ 7%, Estimated</li> </ul>	<ul> <li>Primary: Baseline to approx. 51 months, Time to the first</li> </ul>	<ul><li>SSD: Nov. 2017</li><li>DE: 2022</li></ul>
EFC14875 NCT03315143	Effects of sotagliflozin on CV and renal events in patients with T2DM, CV risk factors and moderately impaired renal function		glomerular filtration rate (eGFR) ≥ 25 and ≤ 60 mL/min/1.73 m2, Age 18 years or older with at least one major CV risk factor or age 55 years or older with at least two minor CV risk factors • Randomized, Double-blind, Placebo- controlled, Parallel-group, 2-Tx arm (placebo - sota 400mg) • Total Study duration: approximately 27 to 51 months, 24-month recruitment and 27-month of follow-up after the last patient randomized	occurrence of any of the following clinical events: CV death, Non-fatal myocardial infarction, Non-fatal stroke, Time to the first occurrence of any of the following clinical events: CV death Hospitalization for heart failure  • Secondary: Baseline to approx. 51 months, Time to first composite renal event, Time to first composite renal event in subgroup of patients with macroalbuminuria, Total Number of heart failure events, time to CV death, time to all cause mortality	



Immuno-inflammation	Diabetes

Study	Description	Patients	Design	Endpoints	Status
GLIM (304) T2DM EFC14838 NCT03332771	Phase 3  Efficacy and safety of sotagliflozin vs. glimepiride and placebo in patients with T2DM that are taking metformin monotherapy	930	<ul> <li>Patients: Patients with T2DM treated with metformin at a stable dose ≥1500 mg/day or MTD (documented) for at least 12 weeks prior to screening visit</li> <li>Randomized, Double-blind, Double-dummy, Active and Placebo-controlled, Parallel-group, 4-Tx arm (placebo – glimepiride, sota dose 1, sota dose 2)</li> <li>Total Study duration: up to 58 weeks including 2-week screening phase, 2-week single-blind placebo run-in, 52-week double-blind Tx period and 2-week post Tx follow-up</li> </ul>	<ul> <li>Primary: Absolute change in hemoglobin A1c (HbA1c) (for sotagliflozin dose 1)</li> <li>Secondary: Change in Body Weight (for sotagliflozin dose 1), in HbA1c (for sotagliflozin dose 2), in SBP for patients with baseline SBP ≥130 mmHg (for sotagliflozin dose 1), in SBP for all patients (for sotagliflozin dose 1), % of patients with at least one hypoglycemic event (for sotagliflozin dose 1), % of patients with AE</li> </ul>	• SSD: Dec 2017 • DE: 2019



Immuno-inflammation	Diabetes

Study	Description	Patients	Design	Endpoints	Status
T2DM & Mild to Moderate HTA PDY15010 NCT03462069	Phase 2  Multiple Dose Study to Assess the Intestinal, Metabolic and Cardiovascular Effects of an 8 Weeks Treatment With Sotagliflozin Once a Day (QD) as Compared With Empagliflozin QD in Type 2 Diabetes Mellitus (T2DM) Patients With Mild to Moderate Hypertension	40	T2 DM patients with Hypertension grades 1 or 2 diagnosed for at least 1 year A Randomized, Double-blind, Parallel-group, 2-treatment Multiple Dose Study Two arms: Treatment A (test): Sotagliflozin 2 tablets administered once daily with 1 empagliflozin placebo capsule prior to the first meal of the day  Treatment B (Reference) Empagliflozin 1 capsule administered once daily with 2 sotagliflozin placebo tablets prior to the first meal of the day	<ul> <li>Primary: PD parameters in feces: (sodium excretion, SCFA, pH), urine: glucose &amp; sodium excretion, blood: 14 h plasma glucose profile and GLP-1 profile after standardized meals</li> <li>Secondary: change in fasting plasma glucose, ABPM, change in plasma aldosterone, change in carotid-femoral pulse wave velocity, CGM, LVEF, change in left ventricular end-diastolic diameter, change in plasma volume, AEs, PK</li> </ul>	• SSD: Mar. 2018 • DE: 2019



Immuno-inflammation	Diabetes

Study	Description	Patients	Design	Endpoints	Status
SOTA-BONE  EFC15294  NCT03386344	Phase 3  Efficacy and Bone safety of sotagliflozin in Patients 55 years or older with T2DM and Inadequate Glycemic Control	360	<ul> <li>Patients with T2DM managed with diet and exercise only or with a stable antidiabetes regimen for more than 12 weeks, 55 years or older</li> <li>A Randomized, Double-blind, Parallel-group</li> <li>Three arms:         <ul> <li>Treatment Sotagliflozin (dose 1 and dose 2), placebo</li> <li>26-week Tx, with 78-week double blind extension period</li> </ul> </li> </ul>	<ul> <li>Primary: HbA1c, change (dose 1)</li> <li>Secondary: Bone mineral density (BMD) of lumbar spine, total hip, and femoral neck, change (dose 1 and 2), Hb1Ac change (dose 2), BW, FPG, SBP, and % of patients with Hb1Ac &lt; 7%, change (dose 1 and 2), AEs</li> </ul>	• SSD: Feb 2018 • DE: 2020



Diabetes

Study	Description	Patients	Design	Endpoints	Status
<b>SOTA-EMPA EFC14867</b> NCT03351478	Phase 3  Efficacy and Safety of sotagliflozin vs placebo and empagliflozin in Patients with T2DM who have inadequate glycemic control while taking DPP4 inhibitor alone or with metformin	700	<ul> <li>Patients with T2DM on DPP4 with or without metformin at a stable dose for at least 12 weeks prior to screening</li> <li>A Randomized, Double-blind, Parallel-group</li> <li>Three arms: Sotagliflozin, empagliflozin, placebo</li> <li>Study duration: up to 34 weeks, including a screening phase up to 2 weeks, a 2-week run-in phase, a 26-week double-blind Tx period, and a 4-week Tx FU</li> </ul>	<ul> <li>Primary: HbA1c, change</li> <li>Secondary: SBP in patients with SBP ≥ 130mmHg, PPG following mixed meal tolerance test (MMTT), FPG, BW, SBP, patients with Hb1Ac &lt; 6,5%, % of patients with Hb1Ac &lt; 7%</li> </ul>	• SSD: Nov 2017 • DE: 2019



# **Sotagliflozin** (SGLT-1&2 inhibitor) Worsening Heart Failure

Diabetes

Study	Description	Patients	Design	Endpoints	Status
Worsening Heart Failure PDY15079 NCT03292653	Phase 2  Safety, Tolerability and Pharmacodynamic activity of sotagliflozin in Hemodynamically Stable Patients with Worsening Heart Failure	81	<ul> <li>Patients: Admitted to the hospital with worsening of heart failure</li> <li>Design: Randomized, double-blind, placebo-controlled study consisting of 3 subsequent cohorts. Cohort 1: sotagliflozin 200 mg (n=10) or placebo (n=5); Cohort 2: sotagliflozin 400 mg (n=10) or placebo (n=5): Cohort 3: sotagliflozin 200 mg (n=17), 400 mg (n=17) or placebo (n=17)</li> <li>Treatment duration: In each cohort, study treatment is administered orally for 14 days</li> </ul>	<ul> <li>Primary: Safety and Tolerability; Pharmacodynamics: Changes in hemoconcentration from baseline to 14 days, Changes in plasma volume from baseline to 14 days</li> <li>Secondary: Change in erythropoietin from baseline to 14 days, Change in NT-proBNP from baseline to 14 days</li> </ul>	• SSD: Dec. 2017 • DE: 2019



# **Sotagliflozin** (SGLT-1&2 inhibitor) Worsening Heart Failure

Immuno-inflammation	Diabetes

Study	Description	Patients	Design	Endpoints	Status
SOLOIST-WHF  EFC15156  NCT03521934	Phase 3  Effects of sotagliflozin on Clinical Outcomes in Hemodynamically Stable Patients with T2DM POST Worsening Heart Failure	4000	<ul> <li>Patients with T2DM, admitted to the hospital or urgent heart failure visit for worsening heart failure</li> <li>Design: Randomized, double-blind, placebo-controlled, parallel - group</li> <li>Two Arms: sotagliflozin, placebo</li> <li>Estimated study duration for a given patient: approximately 3 to 32 months</li> </ul>	<ul> <li>Primary: Time to 1st occurrence of either CV death or hospitalization for heart failure (HHF) in patients with LVEF &lt; 50%, Time to 1st occurrence of either CV death or HHF in the total patient population</li> <li>Secondary: Total number of CV death, HHF or urgent HF visit (including recurrent events), Time to first occurrence of composite renal endpoint, Time to CV death in patients with LVEF &lt; 50%, Time to CV death in the total patient population, Time to all cause mortality in patients with LVEF &lt; 50%, Time to all cause mortality in the total patient population</li> </ul>	• SSD: June 2018 • DE: 2021



# **Efpeglenatide** (Long acting GLP1-R agonist) Type 2 Diabetes Mellitus

Immuno-inflammation	Diabetes

Study	Description	Patients	Design	Endpoints	Status
T2 DM  EFC14822  NCT03353350	Phase 3  Efficacy and Safety of efpeglenatide in Patients with T2DM Inadequately Controlled with diet and Exercise	400	<ul> <li>A 56-week, multicenter, double-blind,</li> <li>placebo-controlled, 4 parallel arms, randomized study to demonstrate the superiority of once-weekly injection of efpeglenatide 2, 4, or 6 mg in comparison to placebo in HbA1c change from baseline to Week 30</li> </ul>	Primary: change in HbA1c (%) from Baseline to Week 30 Secondary  Number of participants with HbA1c <7.0% at Week 30  Change from Baseline to Weeks 30 and 56 in fasting plasma glucose  Change in HbA1c (%) from Baseline to Week 56  Change from Baseline to Week 30 and 56 in body weight  Number of patients with at least one hypoglycemic event during treatment period  Number of hypoglycemic events per participant-year during treament period  Number of patients with AEs	• SSD: Dec. 2017 • DE: 2020



# **Efpeglenatide** (Long acting GLP1-R agonist) Type 2 Diabetes Mellitus

Immuno-inflammation	Diabetes

Study	Description	Patients	Design	Endpoints	Status
T2 DM CVOT  EFC14828  NCT03496298	Phase 3  Effects of efpeglenatide on Cardiovascular outcomes in high cardiovascular risk T2DM patients	4000	<ul> <li>T2DM patients with Hb1Ac &gt; 7% with either established cardiovascular disease or renal impairment 25 ≤ eGFR &lt; 60 mL/min and at least one cardiovascular risk factor</li> <li>Randomized, double-blind, placebocontrolled, parallel-group (efpeglenatide 4mg, 6mg, placebo)</li> <li>Estimated study duration per patient up to 36 months approximately</li> <li>Study is event driven; mean follow up of 2,5 years is expected</li> </ul>	Primary: time to first Major Adverse Cardiovascular Event (MACE) Secondary: time to first, Expanded cardiovascular outcome event, Composite renal event, AEs	• SSD: Apr. 2018 • DE: 2021



### **Efpeglenatide** (Long acting GLP1-R agonist) Type 2 Diabetes Mellitus

Immuno-inflammation	Diabetes

Study	Description	Patients	Design	Endpoints	Status
AMPLITUDE-D  EFC14829  NCT03684642	Phase 3  Efficacy and safety of efpeglenatide vs duraglutide in patients with T2DM inadequately controlled with metformin	900	<ul> <li>56-week, randomized, open-label for the drug (efpeglenatide and dulaglutide) and double-blind for the doses of efpeglenatide, active-controlled, 3-arm (efpeglenatide middle dose, efpeglenatide high dose, duraglutide; all on top of metformin), parallel group</li> <li>T2DM patients with 7% &lt; Hb1Ac ≤ 10% on stable dose of at least 1500mg/day of metformin (or maximum tolerated dose as per country regulation) for at least 3 months prior to screening</li> </ul>	Primary: change from baseline to week 56 in HbA1c, Secondary: Change from baseline to week 56 in FPG Change from baseline to week 56 in body weight Number of patients with HbA1c < 7% at week 56 Number of patients with at least one hypoglycemic event during treatment period Number of hypoglycemic events per participant-year during treament period N of patients with AEs	• SSD: Oct. 2018 • DE: 2020



#### SAR341402 (Rapid Acting Insulin) Type 1 Diabetes Mellitus (T1DM)

Immuno-inflammation	Diabetes

Study	Description	Patients	Design	Endpoints	Status
PDY15083 NCT03436498	Phase 1  Safety assessment of SAR341402 and NovoLog® used in continuous subcutaneous infusion for Type 1 Diabetes Mellitus Patients	45 (enrolled)	<ul> <li>Multi-center, randomized, open-label, two-sequence, two-treatment, 2-period, active-controlled, 2 x 4 weeks cross-over study assessing the safety of SAR341402 and NovoLog® used in CSII in patients with Type 1 diabetes mellitus (T1DM)</li> <li>Patients will be randomized 1:1 to sequences of either SAR341402/ NovoLog® or NovoLog®/SAR341402. After completion of the first 4 weeks of treatment, patients on SAR341402 will be switched to NovoLog® and patients on NovoLog® will be switched to SAR341402</li> <li>The study duration for each patient will be approximately 10 weeks, including a 2-week screening period, 2 treatment periods of 4 weeks each, and 1-day post-treatment safety follow-up period</li> </ul>	<ul> <li>Primary: number of patients with infusion set occlusions, defined as infusion set change due to failure to correct hyperglycemia (plasma glucose ≥250 mg/dL) by insulin bolus via the insulin pump</li> <li>Secondary:Unexplained hyperglycemia, Intervals for infusion set changes, Number of patients with insulin pump alarms for "non-delivery", Patient observation of infusion set occlusions, AEs and SAEs, Number of patients with hypoglycemic events</li> </ul>	• SSD: May 2018 • DE: 2018



#### SAR341402 (Rapid Acting Insulin) Type 1 & 2 Diabetes Mellitus

Immuno-inflammation	Diabetes

Study	Description	Patients	Design	Endpoints	Status
GEMELLI 1  EFC15081  NCT03211858	Phase 3  Comparison of SAR341402 to NovoLog®/NovoRapid® in adult patients with Diabetes also using Insulin Glargine, with a 6-month safety extension period	580	<ul> <li>Patients with T1DM or T2DM diagnosed for at least 12 months, who have been treated with a multiple daily injection regimen with NovoLog®/NovoRapid® OR insulin lispro (100 U/mL) in the last 6 months prior to screening visit AND insulin glargine (100 U/mL) in the last 6 months prior to screening visit OR insulin detemir (Levemir®) in the last 12 months prior to screening visit</li> <li>Randomized, Open-label, Parallel-group</li> <li>Active comparator:         <ul> <li>NovoLog®/NovoRapid®</li> </ul> </li> <li>Study duration: 54-week per patient: 2-week screening period, 26-week Tx period, 26-week comparative safety extension, 1-day follow-up period</li> </ul>	<ul> <li>Primary: Change in HbA1c (%) from baseline to Week 26</li> <li>Secondary: Change in HbA1c, Patients with HbA1c &lt;7%, Change in FPG, Change in mean 24-hour plasma glucose concentration, Change in PPG, Change in 7-point SMPG, Hypoglycemic patients, Hypoglycemic events, Anti-SAR341402/NovoLog/NovoRap id antibody status, Tx-induced, Tx-boosted and Tx-emergent anti-insulin antibodies</li> </ul>	• SSD: Aug. 2017 • DE: 2019



# SAR425899 (GLP-1R/GCGR) Type 2 Diabetes Mellitus (T2DM) Overweight/Obese

Immuno-inflammation	Diabetes

Study	Description	Patients	Design	Endpoints	Status
Overweight to Obese patients with T2DM  TDR15516 NCT03414736	Phase 1  Safety and tolerability of SAR425899 in overweight to obese patients and T2DM patients not requiring antidiabetic pharmacotherapy with an optional 6-month safety extension period	60	<ul> <li>Overweight and obese patients and T2DM not requiring anti-diabetic pharmacotherapy; HbA1c ≤ 7.0%.</li> <li>Randomized, open-label, 3 arm study with SAR425899 (3 different dose escalation regimens)</li> <li>Study duration approximately 12 weeks for main study (up-to 3-week screening period, 8-week treatment period, 3-day follow-up period) and approximately 9 months for those participating in the 6 month safety extension (12 weeks main part and 6 month extension)</li> </ul>	<ul> <li>Primary: Frequency and severity of GI adverse events (main study and 6 month extension)</li> <li>Secondary: Change in body weight, fasting plasma glucose and HbA1c (main study and 6 month extension)</li> </ul>	• SSD: Jan. 2018 • DE: 2018



#### SAR425899 (GLP-1R/GCGR) Overweight to Obese Subjects

Immuno-inflammation	Diabetes

Study	Description	Patients	Design	Endpoints	Status
Overweight to Obese Subjects PDY15012 NCT03376802	Phase 1  Effect of SAR425899 on Energy Expenditure in Obese Subjects	30	<ul> <li>Randomized, double-blind, placebo-controlled study to asses the effect of repeated doses of SAR425899 on energy expenditure and safety in overweight to obese subjects</li> <li>Total study duration of 5-8 weeks (including 21 day screening period, 7 day run-in period, 19-day treatment period, and 3-day follow-up period)</li> </ul>	<ul> <li>Primary: sleep energy expenditure (change from baseline to day 19)</li> <li>Secondary: total daily energy expenditure, resting energy expenditure and basal energy expenditure (change from baseline to day 19)</li> </ul>	• SSD: Feb. 2018 • DE: 2019



#### SAR425899 (GLP-1R/GCGR) Non-Alcoholic SteatoHepatitis (NASH)

Immuno-inflammation	Diabetes

Study	Description	Patients	Design	Endpoints	Status
NASH ACT15067 NCT03437720	Phase 2  Efficacy and Safety of SAR425899 for the treatment of Non-Alcoholic Steatohepatitis (NASH)	126	<ul> <li>A multi-center, double-blind, randomized, placebo-controlled study to assess the efficacy and safety of SAR425899 for the treatment of NASH in overweight or obese patients with NASH and with or without T2DM</li> <li>Total study duration: approximately 64 weeks (including an 8 week screening period, a 52 week treatment period, and a 4 week follow-up period)</li> </ul>	<ul> <li>Primary: Percentage of patients with resolution of NASH (ballooning component of NAS = 0) without worsening of fibrosis score at week 52</li> <li>Secondary: Percentage of patients who achieve status of no hepatocyte ballooning with lobular inflammation score of 0 or 1 without worsening of fibrosis score at week 52; % of patients who achieve an improvement of fibrosis by at least 1 stage without worsening of the hepatocyte ballooning component of NAS at week 52; change from baseline to week 52 in the overall NAS</li> </ul>	• SSD: May 2019 • DE: 2021



#### Alirocumab (anti-PCSK-9 mAb) Heterozygous Familial Hypercholesterolemia (HeFH) (1/2)

Oncology	Cardiovascular		

Study	Description	Patients	Design	Endpoints	Status
ODYSSEY KIDS  DFI14223 NCT02890992	Phase 2  Efficacy and safety of alirocumab in children and adolescents with heFH followed by an extension phase	42	<ul> <li>Patients with diagnosis of HeFH through genotyping or clinical criteria., 8 to 17 years old, treated with optimal dose of statin +/- other LMT(s) or non-statin LMT(s) if statin intolerant at stable dose for at least 4 weeks prior to screening lipid sampling</li> <li>Open-Label, Sequential, Repeated Dose-Finding Study (6 doses tested)</li> <li>Background therapies: optimal dose of statin with or without other LMT or non-statin LMT if statin intolerant at stable dose</li> <li>Study duration: approximately 16-23 weeks: up to 6 (+1) weeks screening period, 8 weeks open-label Tx period, 6 to 8 weeks follow-up period</li> </ul>	<ul> <li>Primary: % change in calculated LDL-C from baseline to week 8</li> <li>Secondary: Absolute change in calculated LDL-C, % change in APO B (Apo B), % change in non-high density LP cholesterol (non HDL-C), % change in Total-C, in LP, in TG, in HDL-C, in Apo A-1, Absolute change in Apo B, in non-HDL-C, in Total C, in Lp(a), in TG, in HDL-C, in Apo A-1, in ratio apo B/Apo A-1, % of participants achieving a calculated LDL-C level lower than 130 mg/dL (3.37 mmol/L), % of participants achieving a calculated LDL-C level lower than 110 mg/dL (2.84 mmol/L)</li> </ul>	• SSD: Sep. 2016 • DE: 2019



#### Alirocumab (anti-PCSK-9 mAb) Heterozygous Familial Hypercholesterolemia (HeFH) (2/2)

Cardiovascular

Study	Description	Patients	Design	Endpoints	Status
HeFH in Children and Adolescents EFC14643 NCT03510884	Phase 3  Efficacy and safety of alirocumab in children and adolescents with HeFH	150	<ul> <li>Patients with diagnosis of HeFH, 8 to 17 years old, treated with optimal dose of statin +/- other LMT(s) or non-statin LMT(s) if statin intolerant at stable dose</li> <li>Randomized, double-Blind, placebocontrolled followed by an open label treatment period (2 dose tested)</li> <li>Study duration: approximately 110 weeks (run-in period, if needed,: up to 4 weeks [+2 days], screening period, up to 2 weeks (+5 days), double-blind treatment period: 24 weeks, open label treatment: 80 weeks)</li> </ul>	Primary: % change in LDL-C from baseline to week 24  Secondary: % change in LDL-C, % change in APO B (Apo B), % change in non-high density LP cholesterol (non HDL-C), % change in Total-C, patients with LDL-C level lower than 130 mg/dL (3.37 mmol/L), patients with LDL-C level lower than 110 mg/dL (2.84 mmol//L), % change in Lp(a), in HDL-C, in TG and in ApoA-1. Number of AE, maturing cognition (Cogstate battery test) and pubertal development (Tanner stage)	• SSD: May 2018 • DE: 2022



#### Alirocumab (anti-PCSK-9 mAb) Homozygous Familial Hypercholesterolemia (HoFH) (1/2)

Cardiovascular

Study	Description	<b>Patients</b>	Design	Endpoints	Status
ODYSSEY HoFH Regeneron R727-CL-1628 NCT03156621	Phase 3  Evaluate the efficacy and safety of alirocumab in patients with HoFH	54	<ul> <li>Diagnosis of HoFH by specific genotype or clinical criteria (all patients on LDL apheresis must be diagnosed based on genotype)</li> <li>Randomized, Double-Blind, Placebo-Controlled, Parallel-Group, 2-Arm (alirocumab Q2W, placebo)</li> <li>Study duration: 12-week double-blind Tx period followed by 10-week alirocumab open-label Tx period</li> </ul>	Primary: % change in LDL-C ITT population from baseline to week 12 Secondary: % change in Apo B, % change in non-HDL-C, % change in TC, % change in LP(a), % change in HDL-C, % change in fasting TG, % change in Apo A-1, % change in LDL-C, % change in LDL-C, TC, Lp(a), HDL-C, fasting TG, Apo A-1 / (m)ITT population, Absolute change in the ratio of Apo B/Apo A-1 (ITT), % of patients with ≥15% reduction in LDL-C, % of patients with ≥30% reduction in LDL-C, % of patients with ≥50% reduction, and ≥50% reduction in LDL-C	• SSD: Oct. 2017 • DE: 2019



### Alirocumab (anti-PCSK-9 mAb) Homozygous Familial Hypercholesterolemia (HoFH) (2/2)

Cardiovascular

Study	Description	Patients	Design	Endpoints	Status
HoFH Children and Adolescents EFC14660 NCT03510715	Phase 3  Efficacy and safety of alirocumab in children and adolescents with HoFH	18	<ul> <li>Patients with diagnosis of HoFH, 8 to 17 years old, treated with optimal dose of statin +/- other LMT(s) or non-statin LMT(s) if statin intolerant at stable dose</li> <li>Single group assignment, open label (2 doses)</li> <li>Study duration: up to 62 weeks, includes (if needed) a run-in period of up to 4 weeks, a screening period of up to 2 weeks, a treatment period of up to 48 weeks, and a follow-up of 8 weeks</li> </ul>	<ul> <li>Primary: % change in calculated LDL-C from baseline to week 12</li> <li>Secondary: % change in LDL-C, % change in APO B (Apo B), % change in non-high density LP cholesterol (non HDL-C), % change in Total-C, % change in Lp(a), in HDL-C, in TG and in ApoA-1. Absolute change in LDL-C, number of patients with AE and pubertal development (Tanner stage)</li> </ul>	• SSD: Sep. 2018 • DE: 2020



### Alirocumab (anti-PCSK-9 mAb) Neurocognitive Evaluation (1/2)

Cardiovascular

Study	Description	Patients	Design	Endpoints	Status
Neurocognitive Evaluation Regeneron R727-CL-1532 NCT02957682	Phase 4  Evaluate the effect of alirocumab on Neurocognitive function in patients with HeFH and non-HeFH at high and very high cardiovascular risk	2176	<ul> <li>Patients with hypercholesterolemia and established coronary heart disease (CHD) or CHD risk equivalents who are not adequately controlled with a maximally tolerated daily dose of statin at a stable dose for at least 4 weeks prior to the screening visit</li> <li>Randomized, Double-Blind, Placebo-Controlled, Parallel-Group, 2-Arm (alirocumab Q2W, placebo, 1:1)</li> <li>Study duration: 3 weeks screening, 96-weeks double-blind Tx period</li> </ul>	<ul> <li>Primary: Change in Cambridge Neuropsychological Test Automated Battery (CANTAB) cognitive domain Spatial Working Memory (SWM) strategy score from baseline to week 96</li> <li>Secondary (efficacy): % change in calculated LDL-C, % change in Apo B, in non-HDL-C, in TC, in Lp(a), in HDL-C, in fasting TG, in Apo A-1, % of patients reaching calculated LDL-C &lt;70 mg/dL (1.81 mmol/L) and LDL- C &lt; 50mg/dL(1.29 mmol/L)</li> </ul>	• SSD: Nov 2016 • DE: 2020



### Alirocumab (anti-PCSK-9 mAb) Neurocognitive Evaluation (2/2)

Oncology	Cardiovascular		

Study	Description	Patients	Design	Endpoints	Status
Long Term Safety Study of Praluent Regeneron R727-CL-1609 NCT03694197	Phase 4  Evaluate the long term safety of PRALUENT in participants with heterozygous familial hypercholesterolemia (heFH) or non-familial hypercholesterolemia (FH) patients at high or very high cardiovascular risk who completed the neurocognitive function study (R727-CL-1532: NCT02957682)	1600	<ul> <li>Participants randomized into the neurocognitive function study (R727-CL-1532) who completed treatment and the end of study (EOS) visit with no premature or permanent discontinuation of study drug</li> <li>Open Label, up to 192 weeks</li> <li>Drug: Praluent®</li> </ul>	<ul> <li>Primary: Incidence of adverse events (AEs) after first administration of study drug through the last dose of study drug plus 2 weeks</li> <li>Secondary: Changes in LDL-C and other lipid parameters, changes in gonadal steroid hormones</li> </ul>	• SSD: Sep 2018 • DE: 2023



# SAR439152 (Myosin inhibitor) Obstructive Hypertrophic Cardiomyopathy (oHCM) (1/2)

Cardiovascular

Study	Description	Patients	Design	Endpoints	Status
PIONEER-OLE	Phase 2	12	Open label	Frequency and severity of AE     and SAE	• SSD: Apr.2018 • DE: 2020
MyoKardia collaboration MYK-461-008 NCT03496168	An Open-Label Extension Study of Mavacamten (MYK-461) in Adults With Symptomatic Obstructive Hypertrophic Cardiomyopathy Previously Enrolled in Study MYK-461-004 (PIONEER)			and SAE	• DE. 2020



# SAR439152 (Myosin inhibitor) Obstructive Hypertrophic Cardiomyopathy (oHCM) (2/2)

Cardiovascular

Study	Description	Patients	Design	Endpoints*	Status
EXPLORER- HCM	Phase 3  A Randomized, Double Blind,	220	Randomized, double-blind, placebo- controlled	Primary: Percentage of Participants Achieving A Clinical Response [Time Frame:	• SSD: May 2018 • DE: 2020
MyoKardia Collaboration	Placebo Controlled Clinical Study to Evaluate Mavacamten (MYK-461) in Adults With Symptomatic oHCM			30 weeks ], defined as having an improvement in symptom severity from baseline to week 30 as assessed by New York Heart Association (NYHA)	
MYK-461-005				functional classification (e.g. I,	
NCT03470545				II, III, or IV) and increase in exercise capacity from baseline to Week 30 as assessed by measurement of peak oxygen consumption (pVO2) determined by cardiopulmonary exercise testing	



#### SAR439152 (Myosin inhibitor) Non-obstructive Hypertrophic Cardiomyopathy (nHCM)

Cardiovascular

Study	Description	Patients	Design	Endpoints	Status
MAVERICK- HCM  MyoKardia Collaboration  MYK-461-006  NCT03442764	Phase 2  A Randomized, Double-blind, Placebo-controlled, Concentration-guided, Exploratory Study of Mavacameten in Patients With Symptomatic nHCM and Preserved Left Ventricular Ejection Fraction	60	This is a multicenter, exploratory, randomized, double-blind study of the administration of mavacamten in 60 participants with symptomatic nHCM randomized to receive a 16-week course of mavacamten doses titrated to achieve 1 of 2 target drug concentrations. Dose adjustments will be based on PK parameters	Primary: Safety and tolerability; Secondary: exercise capacity by peak oxygen uptake (peak VO2), changes in NYHA, diastolic and systolic function by echocardiography, symptoms and quality of life measures, NT pro- BNP levels	• SSD: March 2018 • DE: 2019



### SAR247799 (S1P1 agonist) Endothelial Function in patients with T2DM

Cardiovascular

Study	Description	Patients	Design	Endpoints	Status
Endothelial Function	Phase 1 Study to Assess the PK Effects of Repeated Oral Doses of	108	<ul> <li>Type-2 diabetes patients with % flow mediated dilation &lt;7% at screening</li> <li>Treatment groups:SAR247799, placebo, sildenafil (active comparator)</li> </ul>	Primary: Absolute change from baseline in the % flow- mediated dilation index of brachial artery	• SSD: Mar. 2018 • DE: 2018
PDY15286 NCT03462017	SAR247799 on Endothelial Function in Male and Female Patients With Type 2 Diabetes Mellitus		Treatment duration: 28 days	<ul> <li>Secondary: Change from baseline in peak flow induced by acetylcholine iontophoresis measured by laser doppler perfusion monitoring, Safety, PK</li> </ul>	



## SAR440181 (Myosin activator) Dilated cardiomyopathy (DCM)

Cardiovascular

Study	Description	Patients	Design	Endpoints	Status
MYK-491 Phase 1b SAD in DCM Patients	Phase 1  Randomized, Double-blind, Crossover, Placebo-controlled,	12	This is a randomized, crossover, double- blind, placebo-controlled, two cohort, sequential ascending single dose study. All patients will receive placebo and active	Primary Endpoint: Frequency and severity of treatment- emergent AE and SAE	<ul><li>SSD: Feb. 2018</li><li>DE: Nov. 2018</li></ul>
MyoKardia Collaboration	Adaptive Design Study of Safety, Tolerability, Preliminary Pharmacokinetics, and Pharmacokinetics of Single		doses of MYK-491 (low, med and/or high)		
<b>MYK-491-003</b> NCT03447990	Ascending Oral Doses of MYK- 491 in Patients With Stable Heart Failure				



### Fitusiran (siRNA targeting Antithrombin/AT3) Hemophilia A & B 1/4

Rare Blood Disorders

Study	Description	<b>Patients</b>	Design	Endpoints	Status
Hemophilia A or B LTE14762 ALN- AT3SC- 002 NCT02554773	Phase 1/2 Hemophilia A Hemophilia B  Fitusiran in patients with moderate or severe hemophilia A or B	34	<ul> <li>For patients having participated in a previous fitusiran study</li> <li>Single Group assignment, Open-label</li> </ul>	Primary: incidence of treatment- emergent AEs, SAEs, and AEs leading to study drug discontinuation     Secondary: Changes in the N of Bleeding Event, the Amount of Factor VIII or Factor IX administered for the Tx of bleeding episodes, health-related QOL plasma levels of antithrombin and thrombin generation	• SSD: Sep. 2015 • DE: 2021



### Fitusiran (siRNA targeting Antithrombin/AT3) Hemophilia A & B 2/4

Rare Blood Disorders

Study	Description	Patients	Design	Endpoints	Status
ATLAS-INH  EFC14768  ALN- AT3SC- 003  NCT03417102	Phase 3 Hemophilia A Hemophilia B  Efficacy and Safety of Fitusiran in patients with Hemophilia A or B, with Inhibitory Antibodies to Factor VIII or IX	54	<ul> <li>In patients suffering from severe hemophilia A or B with inhibitors,</li> <li>Randomized, Parallel Assignment, Open-label</li> <li>Fitusiran and active comparator (on demand bypassing agents)</li> </ul>	Primary: Annualized bleeding rate (ABR) [ Time Frame: 9 months ] Secondary: 1) Annualized spontaneous bleeding rate [ Time Frame: 9 months ] 2) Annualized joint bleeding rate [ Time Frame: 9 months ] 3) QOL as measured by Haem-A-QOL Questionnaire score on a scale of 0-100 with higher scores representing greater impairment. [ Time Frame: 9 months ]	• SSD: Mar. 2018 • DE: 2019



### Fitusiran (siRNA targeting Antithrombin/AT3) Hemophilia A & B 3/4

Rare Blood Disorders

Study	Description	Patients	Design	Endpoints	Status
ATLAS-A/B  EFC14769  ALN- AT3SC- 004  NCT03417245	Phase 3 Hemophilia A Hemophilia B  Efficacy and Safety of Fitusiran in patients with Hemophilia A or B, without Inhibitory Antibodies to Factor VIII or IX	120	<ul> <li>In patients suffering from severe hemophilia A or B without inhibitors,</li> <li>Randomized, Parallel Assignment, Open-label</li> <li>Fitusiran and active comparator (on demand Factor VIII or IX)</li> </ul>	Primary: Annualized bleeding rate (ABR) [ Time Frame: 9 months ] Secondary: 1) Annualized spontaneous bleeding rate [ Time Frame: 9 months ] 2) Annualized joint bleeding rate [ Time Frame: 9 months ] 3) QOL as measured by Haem-A-QOL Questionnaire score on a scale of 0-100 with higher scores representing greater impairment. [ Time Frame: 9 months ]	• SSD: Jul. 2018 • DE: 2019



### Fitusiran (siRNA targeting Antithrombin/AT3) Hemophilia A & B 4/4

Rare Blood Disorders

Study	Description	Patients	Design	Endpoints	Status
ATLAS-PPX  EFC15110  ALN- AT3SC-	Phase 3 Hemophilia A Hemophilia B	30	For patients (child, adult, older adult) with severe hemophilia and inihibitors. Single Group assignment, Open-label	<ul> <li>Primary: annualized bleeding rate (ABR)</li> <li>Secondary: annualized spontaneous bleeding rate, annualized joint bleed rate,</li> </ul>	• SSD: Sept 2018 • DE: 2021
009 NCT03549871	Fitusiran in patients with severe hemophilia A or B previously receiving bypassing agent prophylaxis			QOL measured by Haem-A- QOL Questionnaire	



### Sutimlimab (BIVV009 - Anti Complement C1s mAb) Cold Agglutinin Disease (CAgD) (1/2)

Rare Blood Disorders

Study	Description	<b>Patients</b>	Design	Endpoints	Status
Cardinal	Phase 3	20	Patients suffering from primary CAgD with at least one blood transfusion within 6 months of enrollment	<ul> <li>Primary (Part A): response rate</li> <li>(≥ 2g/dl increase in Hgb OR</li> <li>Hgb &gt;12g/dl AND no</li> </ul>	<ul><li>SSD: Nov. 2017</li><li>DE: 2019 (primary and secondary</li></ul>
BIVV009-03	Efficacy and Safety of BIVV009		<ul> <li>Open-label, Single Group assignment</li> </ul>	transfusion required)	outcome measure,
NCT03347396	in patients with Primary Cold Agglutinin Disease with a recent history of Blood Transfusion		<ul> <li>Part A (required for registration): biweekly IV infusion of BIVV009 up to week 26</li> <li>Part B: long-term safety and durability of response extension phase for patients having completed Part A, BIVV009 dosing for up to 1 year after Part A LPO</li> </ul>	<ul> <li>Secondary (Part A): change in bilirubin, change in FACIT-Fatigue Scale Score, change in LDH, number of transfusions and blood units and change in Hgb</li> <li>Part B: TEAEs, hemoglobin, bilirubin, FACIT-F, LDH, transfusion, haptoglobin, HRU</li> </ul>	Part A). Full completion: 2020 (Part B)



### Sutimlimab (BIVV009 - Anti Complement C1s mAb) Cold Agglutinin Disease (CAgD) (2/2)

Oncology

Cardiovascular

Rare Diseases

MS, Neuro, Gene therapy

Diabetes

Cardiovascular

Rare Blood Disorders

Vaccines

Study	Description	Patients	Design	Endpoints	Status
Cadenza  BIVV009-04  NCT03347422	Phase 3  Efficacy and Safety of BIVV009 in patients with Primary CAgD without a recent history of Blood Transfusion	40	<ul> <li>Patients suffering from primary CAgD with no blood transfusions in prior 6 months and no more than 1 blood transfusion in the prior 1 year</li> <li>Randomized, double-blind, placebo controlled</li> <li>Part A: biweekly IV infusion of BIVV009 or placebo (up to 26 weeks)</li> <li>Part B: long-term safety and durability of response extension phase for patients having completed Part A. Blinded crossover loading doses to allow all participants to receive BIVV009 while maintaining Part A blinding. BIVV009 dosing for up to 1 year after Part A LPO</li> </ul>	<ul> <li>Primary (Part A); RR (≥ 1.5g/dl increase in Hgb AND no transfusion required);</li> <li>Secondary (Part A): change in Hgb, change in bilirubin, change in FACIT-Fatigue Scale Score, change in LDH, incidence of symptomatic anemia symptoms</li> <li>Part B: TEAEs, hemoglobin, bilirubin, FACIT-F, LDH, transfusion, haptoglobin, HRU</li> </ul>	SSD: Nov. 2017     DE: 2019 (primary outcome measure). Full completion: 2020



## **Sutimlimab** (BIVV009 - Anti Complement C1s mAb) Chronic Immune Thrombocytopenia (ITP)

Rare Blood Disorders

Study	Description	Patients	Design	Endpoints	Status
TNT009-201/ BIVVOO9-201 NCT03275454	Phase 1  Safety, PK and PD of BIVV009 in patients with Chronic ITP	16	<ul> <li>Patients suffering from chronic ITP. Open-label, Single Group assignment</li> <li>Part A: Bi-weekly IV infusion of BIVV009 up to 21 weeks</li> <li>Part B: long-term treatment period (for 52 weeks) for patients who have had benefit from BIVV009 during Part A; patients undergo monitored washout from BIVV009 at end of Part A and enter Part B upon return of thrombocytopenia</li> </ul>	Primary: TEAEs, premature study terminations, Clinical Laboratory Abnormalities  Efficacy endpoints: Part A & B: Change in platelet count; independence from additional ITP therapy; Number of patient who achieve CR, R; Duration of CR and R; Time to increased platelet count > 30, 50, and 100 x 109/L; number of patients with loss of CR, loss of R  PK/PD endpoints: PK parameters, antidrug antibodies, PD measures (Complement factor measures, thrombopoietin levels, immature platelet fraction, platelet autoantibody/autoantigen)	• SSD: Aug. 2017 • DE: 2019



### BIVV001 (rFVIIIFc-vWF-XTEN<sup>(1)</sup>) Hemophilia A

Rare Blood Disorders

Study	Description	Patients	Design	Endpoints	Status
<b>EXTEN-A 242HA101</b> NCT03205163	Phase 1 Phase 2 Safety, Tolerability and PK of a single dose regimen of Single dose of BIVV001 in Previously Treated Adults With Severe Hemophilia A	18	<ul> <li>Open-Label, Sequential Assignment</li> <li>Low-Dose cohort: low dose of rFVIII<sup>(2)</sup>, washout of at least 72-hour and one single low dose of BIVV001 (25 IU/kg)</li> <li>High-Dose cohort: single high dose of rFVIII, washout of at least 96-hour and a single high dose of BIVV001 (65 IU/kg)</li> </ul>	<ul> <li>Primary: AE's, clinically significant laboratory abnormalities, including inhibitor formation</li> <li>Secondary: PK of rFVIII including Cmax; t½; Vss and AUC∞</li> </ul>	• SSD: Jul. 2017 • DE: 2018



### ST400 (gene-editing technology) Beta-thalassemia

Immuno-inflammation Diabetes

Oncology Cardiovascular

Rare Diseases Rare Blood Disorders

MS, Neuro, Gene therapy Vaccines

Study	Description	Patients	Design	Endpoints	Status
Transfusion-dependent Beta-thalassemia (TDT) ST-400-01 NCT03432364	Phase 1/2  Safety, Tolerability and Efficacy of ST400 Autologous Hematopoietic Stem Cell transplant for Tx of Transfusion-Dependent Beta-thalassemia (TDT)	6	Patients with clinical diagnosis of TDT with at least 8 documented RBC transfusion events per year and confirmed diagnosis of beta-thalassemia (genetic testing)     Open-Label, Single Group Assignment, single dose	Primary: TEAEs Secondary: change from baseline in Hb fractions measurements, change in HbF, change in frequency and volume of packed red blood cells (PRBC) transfusions	• SSD: Mar. 2018 • DE: (1st) 2020



### **Caplacizumab - Cablivi**® Acquired Thrombotic Thrombocytopenic Purpura

Oncology

Rare Diseases

MS, Neuro, Gene therapy

Immuno-inflammation

Cardiovascular

Rare Blood Disorders

Vaccines

Study	Description	Patients	Design	Endpoints	Status
Post- HERCULES ALX0681-C302 NCT02878603	Phase 3  Evaluate the long-term safety and efficacy of caplacizumab, evaluate safety and efficacy of repeated use of caplacizumab and characterize the long-term impact of acquired Thrombotic Thrombocytopenic Purpura (aTTP).	104	<ul> <li>Prospective follow-up for adult patients (18 years and older) with acquired TTP who completed HERCULES</li> <li>Single group assignment, open label</li> <li>Study duration: Tx period (only for patients who experience a recurrence of aTTP during the study period): initial i.v. dose followed by daily s.c. injections for up to 6 months (max). Total study duration approximately 3 years</li> </ul>	Primary: proportion of subjects with TTP-related events, # of and time to TTP-related events, mortality rate, proportion of subjects with, # of and time to recurrence of disease, proportion of subjects with reported major thromboembolic events, # of and time to major thromboembolic events, cognitive function, quality of life assessment, immunogenicity, and AE	• SSD: Aug. 2016 • DE: 2020



### Dengue Vaccine Co-administration w/ Tdap booster Asia Pacific Region

Vaccines

Study	Description	Patients	Design	Endpoints	Status
NCT02992418	Phase 3  Study of a Tetravalent Dengue Vaccine Administered Concomitantly or Sequentially With Adacel® in Healthy Subjects	688	Randomized, multicenter, open-label study in 688 subjects aged from 9 to 60 years	Immunogenicity and safety of CYD dengue vaccine and Tdap vaccine when both vaccines are administered concomitantly or sequentially	• SSD: Dec. 2016 • DE: 2020



# Dengue Vaccine Different schedules Asia Pacific, Latin America Regions

Vaccines

Study	Description	Patients	Design	Endpoints	Status
NCT02628444	Phase 2a  Immunogenicity and Safety of 3- Dose and Booster Dose of Tetravalent Dengue Vaccine in Healthy Subjects 9 to 50 Years of Age	1050	Two-stage, multi-national, multi-center, observer-blind, randomized, placebo- controlled Phase II immunogenicity and safety study of tetravalent dengue vaccine	Immunogenicity and safety of 3- dose primary series and booster dose	• SSD: May. 2016 • DE: 2020



### Dengue Vaccine Booster dose Latin America Region

Vaccines

Study	Description	Patients	Design	Endpoints	Status
NCT02623725	Phase 2b  Study of a Booster Dose of a Tetravalent Dengue Vaccine in Subjects Who Previously Completed the 3-dose Schedule	372	Multi-center, observer-blind, randomized, placebo-controlled, Phase II trial	Immunogenicity and safety of a booster dose	• SSD: Apr. 2016 • DE: 2019



### Rabies Vaccine Verorab Asia Pacific Region

Vaccines

Study	Description	Patients	Design	Endpoints	Status
NCT01622062	Phase 3  Immunogenicity and Safety of Verorab® in a "One-week" Intradermal Post-exposure Prophylaxis Regimen	600	Open-label, randomized, controlled, multi-center, multi-country trial	Immunogenicity and safety of Verorab® in a "One-week" intradermal post-exposure prophylaxis regimen	• SSD: Jun. 2012 • DE: 2019



### Meninge Vaccine MenQuadTT Greater Europe, Latin America, Asia Pacific Regions

Immuno-inflammation Diabetes
Oncology Cardiovascular
Rare Diseases Rare Blood Disorders

MS, Neuro, Gene therapy Vaccines

Study	Description	Patients	Design	Endpoints	Status
NCT03205371	Phase 3  Immunogenicity and Safety of a Meningococcal Conjugate Vaccine Given Concomitantly With Other Vaccines in Toddlers	1200	Open-label (immunology laboratory technicians will be blinded to group assignment), randomized, parallel-group, active-controlled, multi-center study	Immunogenicity and safety	• SSD: Nov. 2016 • DE: 2019



### Dengue Vaccine Booster Asia Pacific Region

Vaccines

Study	Description	Patients	Design	Endpoints	Status
NCT02824198	Phase 2b  Immunogenicity and Safety of a Tetravalent Dengue Vaccine Booster Injection in Subjects Who Previously Completed a 3- dose Schedule	260	Multi-center, observer-blind, randomized, placebo-controlled, Phase II non- inferiority trial	Immunogenicity and safety of a booster dose	• SSD: Jul. 2016 • DE: 2019



### Dengue Vaccine Co-administration w/ HPV Latin America Region

Vaccines

Study	Description	Patients	Design	Endpoints	Status
NCT02979535	Phase 3b  Immunogenicity and Safety of a Tetravalent Dengue Vaccine Administered Concomitantly or Sequentially With Cervarix®	480	Randomized, open-label, multicenter study	Immunogenicity and safety of a Tetravalent Dengue Vaccine administered concomitantly or sequentially with Cervarix®	• SSD: Nov. 2016 • DE: 2019



### Dengue Vaccine Co-administration w/ HPV Asia Pacific Region

Vaccines

Study	Description	Patients	Design	Endpoints	Status
NCT02993757	Phase 3b  Immunogenicity and Safety of a Tetravalent Dengue Vaccine Administered Concomitantly or Sequentially With Gardasil®	528	Randomized, open-label, multicenter study	Immunogenicity and safety of a Tetravalent Dengue Vaccine administered concomitantly or sequentially with Gardasil®	• SSD: Dec. 2016 • DE: 2020



## **Dengue Vaccine Latin America Region**

Immuno-inflammation Diabetes
Oncology Cardiovascular
Rare Diseases Rare Blood Disorders

MS Neuro Gene therapy Vaccines

Study	Description	Patients	Design	Endpoints	Status
NCT01374516	Phase 3  Study of a Novel Tetravalent Dengue Vaccine in Healthy Children and Adolescents Aged 9 to 16 Years in Latin America	20869	Randomized, double-blind, controlled, multicenter	Efficacy and safety	• SSD: Jun. 2011 • DE: 2018



## **AcP Primary Africa and Middle East Regions**

Vaccines

Study	Description	Patients	Design	Endpoints	Status
NCT02817451	Phase 4  DTaP-IPV-HB-PRP-T Combined Vaccine as a Primary Series and a 2nd Year of Life Booster in HIV-Exposed Infected and Uninfected	100	Multicenter, open-label, two-arm study	Immunogenicity and safety of 3- dose primary series and booster dose	• SSD: Jul. 2016 • DE: 2020



### Adacel+ North America Region

MS. Neuro. Gene therapy	Vaccines

Study	Description	Patients	Design	Endpoints	Status
NCT02587520	Phase 1  Study of Tetanus Toxoid, Reduced Diphtheria Toxoid, and Acellular Pertussis Vaccine Adsorbed in Healthy Subjects	1350	Randomized, modified double-blinded, multi-center, active comparator, dose and formulation ranging, step-down study	Safety and immunogenicity	• SSD: Oct, 2015 • DE: 2019



### Dengue Vaccine Asia Pacific

Vaccines

Study	Description	Patients	Design	Endpoints	Status
NCT02827162	Exploratory Phase  Association of Host Genetics With Vaccine Efficacy and Study of Immune Correlates of Risk From a Tetravalent Dengue Vaccine	364	Exploratory, single-center study	Host generic analysis and correlate of protection	• SSD: Mar. 2016 • DE: 2018



## **Dengue Vaccine Latin America, Asia Pacific Regions**

Vaccines

Study	Description	Patients	Design	Endpoints	Status
NCT02948933	Epidemiology Phase  Cohort Event Monitoring for Dengvaxia®, CYD-TDV Dengue Vaccine	30 000	Observational	Incidence of selected AEs and SAEs, occurrence and frequency of hospitalized dengue disease and SAEs leading to hospitalization or death	• SSD: Dec. 2016 • DE: 2025



### Flu Vaccine Latin America Region

Vaccines

Study	Description	Patients	Design	Endpoints	Status
NCT03391193	Phase 3 Immunogenicity and Safety of a Multi-Dose Quadrivalent Influenza Vaccine	360	Randomized, open-label, active- controlled, multi-center study in Mexico	Immunogenicity and safety	• SSD: Dec. 2017 • DE: 2018



## **AcP Primary Vaccine North America Region**

Vaccines

Study	Description	Patients	Design	Endpoints	Status
NCT00855855	Phase 4  Surveillance Program to Determine Product Specific Rates of Invasive Hib Disease	510 000	Observational	Surveillance for Hib disease	• SSD: Feb. 2009 • DE: 2019



## **AcP Primary Vaccine North America Region**

Vaccines

Study	Description	Patients	Design	Endpoints	Status
NCT01129362	Phase 4  Rates of Pertussis Disease Among Persons Receiving Pentacel® or Other Pertussis Vaccines	1 538	Observational	Occurrence of pertussis disease, as determined by the Wisconsin Division of Public Health (WDPH)	• SSD: May 2010 • DE: 2018



### MenQuad TT Vaccine North America Region, Latin America Region

Vaccines

Study	Description	Patients	Design	Endpoints	Status
NCT03077438	Phase 3  Study of an Investigational Quadrivalent Meningococcal Conjugate Vaccine Administered in Children Aged 2 to 9 Years	1 000	modified double-blind, randomized, parallel-group, active-controlled, multi- center trial	Safety and immunogenicity	• SSD: Feb. 2017 • DE: 2019



## MenQuad TT Vaccine Greater Europe Region

Vaccines

Study	Description	Patients	Design	Endpoints	Status
NCT02955797	Phase 3  Immunogenicity and Safety of an Investigational Quadrivalent Meningococcal Conjugate Vaccine in Toddlers	918	Modified double-blind, randomized, parallel-group, active-controlled, multicenter trial	Immunogenicity and safety	• SSD: Feb. 2017 • DE: 2018



# **Meninge Vaccine Asia Pacific Region**

Vaccinos

Study	Description	Patients	Design	Endpoints	Status
NCT02864927	Phase 4  Postmarketing Surveillance Study for Use of Menactra® in the Republic of Korea	1 200	Open, Multi-center, observational, active safety surveillance study	Occurrence of solicited and unsolicited events	• SSD: Jul. 2016 • DE: 2019



# **New Pertussis Vaccine**Latin America Region

Vaccines

Study	Description	Patients	Design	Endpoints	Status
NCT03147898	Phase Epidemiology  Observational Study Describing the Immune Profile Induced By Pertussis Vaccines	120	Observational, multicenter trial	Immune response to booster dose	• SSD: Apr. 2017 • DE: 2019



## Flu seasonal Vaccine North America Region

Vaccines

Study	Description	Patients	Design	Endpoints	Status
NCT01945424	Phase Epidemiology  Sanofi Pasteur Quadrivalent Influenza Vaccine (QIV) Pregnancy Registry	1 000	Observational	Pregnancy registry	• SSD: Nov. 2013 • DE: 2020



# Japanese Encephalitis Vaccine Asia Pacific Region

Vaccines

Study	Description	Patients	Design	Endpoints	Status
NCT02933710	Phase 4  Postmarketing Surveillance Study for IMOJEV® in Republic of Korea	632	Multi-center, open, observational, active safety surveillance study	Occurrence of solicited and unsolicited events	• SSD: Jul. 2016 • DE: 2019



#### MenQuad TT Vaccine Booster Greater Europe Region

MS. Neuro. Gene therapy	Vaccines

Study	Description	Patients	Design	Endpoints	Status
NCT03476135	Phase 3  Immunogenicity and safety booster dose in subjects previously vaccinated as toddlers	188	Open label, multicenter study to describe immune persistence of the priming dose and immuno and safety of booster dose	Immunogenicity and safety	• SSD: Feb. 2018 • DE: 2019



#### MenQuad TT Vaccine Co administration North America Region

MS, Neuro, Gene therapy	Vaccines

Study	Description	Patients	Design	Endpoints	Status
NCT03537508	Phase 3  Safety and Immunogenicity for Infants, with co administration with routine pediatric vaccines	2475	Modified double blind study, randomized, parallel groups, active controlled, multicenter	Immunogenicity and safety	• SSD: Apr. 2018 • DE: 2022



#### MenQuad TT Vaccine Alternative schedules Greater Europe Region

Vaccines

NCT03547271  Phase 3  1540  Partially modified double blind, randomized, parallel group, active controlled, multi center  Phase 3  Safety and Immunogenicity for alternative schedules in infants  Phase 3  Phase 3  Safety and Immunogenicity for alternative schedules in infants  Phase 3  Partially modified double blind, randomized, parallel group, active controlled, multi center  Phase 3  Partially modified double blind, randomized, parallel group, active controlled, multi center  Phase 3  Phase 3  Phase 3  Phase 3  Phase 3  Phase 3  Partially modified double blind, randomized, parallel group, active controlled, multi center	Study	Description	Patients	Design	Endpoints	Status
	NCT03547271	Safety and Immunogenicity for	1540	randomized, parallel group, active	<ul> <li>immunogenicity and safety</li> </ul>	• SSD: Dec. 2018 • DE: 2022



## Flu seasonal Vaccine North America Region

MS Neuro Gene therapy	Vaccines

Study	Description	Patients	Design	Endpoints	Status
NCT03617523	Phase 4  Safety and immunogenicity Fluzone Quadrivalent, Flublock Quadrivlent and Fluzone High Dose	240	Interventional, open label, randomized	Immunogenicity and safety	• SSD: Sep. 2018 • DE: 2019



#### MenQuad TT Vaccine Latin America, Asia Pacific, Greater Europe Regions

Vaccines

Study	Description	Patients	Design	Endpoints	Status
NCT03630705	Phase 3 Safety and immunogenicity 3 dose schedule Quadrivalent Meningococcal conjugate vaccine	825	Interventional, randomized, parallel assignement, active controlled multi center study	Immunogenicity and safety	• SSD: Oct. 2018 • DE: 2022



# **MenQuad TT Vaccine Latin America, North America**

Vaccines

Study	Description	Patients	Design	Endpoints	Status
NOTOCOLOGO	Phase 3	940	Interventional, modified double blind, Randomized, parrallel assignement	Immunogenicity and safety	• SSD: Oct. 2018 • DE: 2020
NCT03691610	Safety & Immunogenicity 2-dose Trial in Toddlers		active controlled multi center study		



## Flu QIV HD Vaccine North America Region

Vaccines

Study	Description	Patients	Design	Endpoints	Status
NCT03698279	Phase 2b  Safety and immunogenicity of Flu Quadrivalent HD 3 dose schedule in Pediatric population	700	Interventional, Randomized, Sequential Assignment, modified double blind, multi center study	Dose response, immunogenicity and safety	• SSD: Oct. 2018 • DE: 2020



## Rabies Vaccine Asia Pacific Region

MS. Neuro. Gene therapy	Vaccines

Study	Description	Patients	Design	Endpoints	Status
NCT03700242	Phase3  Immunogenicity and safety of HDCV with abbreviated preexposure regimens Trial	570	Interventional, Randomized, Parallel Assignment	Immunogenicity and safety	• SSD: Sep. 2018 • DE: 2020

